

Enforcement Orders 1999 – 2003

Date Filed	Company/Individual	Decision #
December 22, 2003	James T. M. Verbic	66649
December 22, 2003	Robert J. Brannon	66648
December 22, 2003	Credit Suisse First Boston L.L.C. f.k.a. Credit Suisse First Boston Corporation	66647
December 22, 2003	Philip William Merrill	66645
December 9, 2003	Philip William Merrill	66614
October 17, 2003	John R. Wallrich; Jennifer Wallrich	66436
October 14, 2003	Clay Eugene Lambert	66403
October 14, 2003	Ocean International Marketing, Ltd.; Heros Global Marketing, Ltd.; Seed International, Ltd.	66402
October 14, 2003	Non-Stop Shopping.com, Inc. d.b.a. 2C2K.com, Inc.; Kenneth Mark Deubner; Donald L. Levine; Stephen Rosenbaum; Bobbie Jo Swartz	66401
October 2, 2003	Ralph Shaul; Leslie Shaul	66355
October 1, 2003	Douglas Sanchez; Karen Sanchez; DMS Power Cash Flow, L.L.C.; Persanco, L.L.C.	66354
October 1, 2003	Carl Delano Woodard a.k.a. Carl Woodward	66353
September 29, 2003	Lehman Brothers Inc.	66320
September 29, 2003	Goldman, Sachs & Co.	66318
September 24, 2003	Bear, Stearns & Co., Inc.	66298
September 24, 2003	J.P. Morgan Securities, Inc.	66297
September 24, 2003	Morgan Stanley & Co. Incorporated	66296
September 24, 2003	U.S. Bancorp Piper Jaffray, Inc.	66295
July 22, 2003	Stephen Rosenbaum; Bobbie Jo Swartz	66076
May 27, 2003	Robert D. Bjerken	65955
May 16, 2003	UBS Warburg L.L.C.; USB PaineWebber Inc.	65912

April 22, 2003	Gregory B. Gill a.k.a. Gregory R. Gill; Martin & Griffin, L.L.C.	65842
April 22, 2003	Douglas P. Warren; Kathleen M. Warren; Matthew W. Warren	65841
March 24, 2003	David R. Krizman	65772
March 24, 2003	Bob's Cash Express, Inc.; Bob's Land One, Inc.; Challenge/Land USA, Inc.; Arizona Digital Security Tech., Inc.; American Dish Tech., Inc.; Robert H. Roberts; Garrett L. Wright a.k.a. Garrett Lee; Dan R. Harper a.k.a. D.J. Harper; Joyce Goodman	65771
March 24, 2003	Non-Stop Shopping.com, Inc. d.b.a. 2C2K.com, Inc.; Kenneth Mark Deubner	65770
February 18, 2003	One Vision Children's Foundation, Inc.; Hollister M. Marx	65629
January 28, 2003	Donald Ramey	65559
January 28, 2003	Wallace Butterworth; Senior Advisory Services, L.L.C.	65558
January 28, 2003	Hayden Keith Holland; Lisa Marie Holland; Scottsdale Financial Funding Group, L.L.C.	65557
January 28, 2003	Tad Lyn Ulrich; Tad L. Ulrich & Associates, L.L.C.	65556
January 28, 2003	Turn Two Trading Club; Dennis Wayne Little; Deborah L. Sorensen Little	65555
January 23, 2003	Merrill Lynch, Pierce, Fenner & Smith, Inc.	65545
December 17, 2002	Turn Two Trading Club; Dennis Wayne Little; Deborah L. Sorensen Little	65456
November 20, 2002	Ronald Lee Keel	65424
November 20, 2002	Meracana Mining Corporation	65423
November 13, 2002	Brian Bamman; Bamex International, Ltd.	65383
September 30, 2002	David Carroll Loach	65230
September 30, 2002	David Allen Parizek, Jr.; Parizek Capital Management, L.L.C.	65229
September 30, 2002	Netgo, Inc.; SDIC Partnership; Camelback, Ltd.; Neil Dennis Lewis	65228
September 30, 2002	M-Corp International, Ltd.	65227
September 30, 2002	Norman Michael Miller; M-Corp International, Ltd.	65226
September 03, 2002	Charles E. Conatser; Estate Conservation Strategies, Inc.	65163

August 29, 2002	Easy Money Auto Leasing, Inc.; Superior Financial Services, Inc.; James Anthony Cicerelli; David Paul French	65162
August 29, 2002	Grand Canyon Wagon Adventure Tours, Inc.; Floyd Willard Dwiggin, Jr.	65161
August 29, 2002	Robert Shakman; Healthcare Purchasing Alliance, Inc.	65160
August 29, 2002	Alan Bruce Stoner	65159
August 29, 2002	Jeffrey Wilcox; Eternal Presence, Inc.	65158
July 18, 2002	Republic Cash Advance, Inc.; Quick Cash Advance, Inc.; Curtis J. Billups; Mark N. Ferguson	65035
July 02, 2002	Gary Milburn Laha	65005
June 05, 2002	Howard S. Baldwin	64872
June 05, 2002	Glenn A. Liberatore; Glenn A. Liberatore Financial Services	64871
May 30, 2002	21 <sup>st</sup> Century Satellite Communications, Inc.	64852
May 30, 2002	Philip A. Lehman; Tower Equities, Inc.	64851
May 30, 2002	Ronald L. Fanzo d.b.a. Intermarc Marketing d.b.a. Cashflows	64850
May 30, 2002	David Hitzig	64849
May 30, 2002	Bruce J. Blechman; Pre-IPO Venture Capital Fund, L.L.C.	64848
May 30, 2002	Robert Witt a.k.a. Harry Robert Witt	64847
May 01, 2002	Michael David Fromkin	64787
May 01, 2002	Richard Dean Carrington a.k.a. Richard Dean Frank d.b.a. Carrington Estate Planning Services d.b.a. Carrington Investment Services	64786
May 1, 2002	Eternal Presence, Inc.; Jeffrey Wilcox	64785
May 01, 2002	David Nutter; North American Insurance Services, L.L.C.	64784
May 01, 2002	Michelle L. Webb d.b.a. Webb Financial Group a.k.a. Michelle Webb Financial Group	64783
March 26, 2002	The Chamber Group, Inc. a.k.a. Chamber Financial Group, a.k.a. Chamber Financial; Joseph L. Hiland; Tyson J. Hiland; Travis D. Hiland	64672
March 25, 2002	Creative Financial Funding, L.L.C.; American Money Power, Inc. d.b.a. Money Power; Federal Capital, L.L.C.; Corporate Fiducial Services, Inc.; Paul J. Meka; Larry Dunning	64653
March 25, 2002	Michael Lynn Bill d.b.a. Arizona Viaticals Settlements and Annuities	64652

March 25, 2002	William R. Evans Chartered	64651
March 25, 2002	Viatical Center, Inc.	64650
March 25, 2002	Beneficial Assistance a.k.a. Beneficial Assurance, Ltd.; t/a Imtek Funding Corporation	64649
February 22, 2002	Philip A. Lehman; Tower Equities, Inc.	64559
February 22, 2002	Brian Lloyd Gibbons	64558
January 15, 2002	Robert Dale Hylton; Hylton Enterprises, Inc.; Oreo Management Co.; Legend Leasing Co.; Liberty Marketing Co.; Passport Wholesale Supply	64343
December 28, 2001	Bar-Lo Millennium Corporation; Ken Renken; Radoica Barjaktarovic; George O.P. Fleming	64291
December 28, 2001	Aeromax, Inc.; Aeromag Corporation; Lexington Bartlett; David L. Sears	64290
December 28, 2001	Charles Ray Stedman and Profutura, L.L.C.	64284
December 28, 2001	M.G. Natural Resources Corporation d.b.a. Xenolix Technologies, Inc.; Alvin Charles Johnson, Jr.	64283
November 29, 2001	Mark Aaron Sutter	64246
November 29, 2001	Kenneth R. Morris; Accelerated Success, Inc.	64245
November 8, 2001	U.S. Federal Financial Corporation; Tom Gaffney; Derreck Manteau	64205
November 08, 2001	Paul C. Woodcock	64204
November 08, 2001	Hyland A. Stokes; Estate Planning Protection, Inc.	64203
November 08, 2001	Gary L. Christian d.b.a. Cornerstone Senior Planning	64202
November 08, 2001	Robert D. Pierson	64201
November 08, 2001	Integrity Assured Life Settlements, Inc.	64200
November 08, 2001	Steven S. Levine Chartered	54199
October 30, 2001	Roger Lancette d.b.a. National Estate Service and Planning and Senior Advisory Services	64185
October 30, 2001	Wallace Butterworth d.b.a. Butterworth Advisory Services and Senior Advisory Services	64184
October 30, 2001	Wayne Keith Richardson; Edge Business Services, Inc.	64165
October 4, 2001	Randall Wayne Smith, Jr.	64080

October 04, 2001	Kenneth Eugene Schaub; Investment Planners Of America, Inc.	64079
October 04, 2001	Prosperity Investors Group a.k.a. Prosperity Investors Trust a.k.a. Prosperity Express Ltd.; Neil R. McAllister; Fred C. Ruscher	64078
September 18, 2001	Hotel Connect L.L.C.'s #100-1100	64041
September 18, 2001	Ronald Lee Goble d.b.a. Southwest Trust & Financial	64040
August 30, 2001	Richard James Jones	64007
August 30, 2001	Mark Alan Melkowski, Sr.; Eagle Communications, Inc.; Eagle One Financial & Tax, L.L.C.	64006
August 30, 2001	Joseph Michael Guess, Sr.; James Douglas Sherriffs; Ira Joe Patterson; Richard Gordon Davis	64005
August 30, 2001	Gareth N. Patton	64004
August 10, 2001	Philip Michael Scafetta	63949
July 25, 2001	Gareth N. Patton	63873
July 24, 2001	Stephen Michael Johnson d.b.a. Ferndale Financial Group	63874
June 28, 2001	Johnathon Roberts, Inc.; Early Detection Centers, Inc.	63854
June 28, 2001	Gregory Alan Newton	63853
June 28, 2001	Melvin Lee Cline; Why Not Entertainment, L.L.C.	63852
June 28, 2001	William E. Bergh d.b.a. National Financial Group; World Wide Business Opportunities, L.L.C.	63851
June 06, 2001	United Planners' Financial Services Of America, L.P.	63720
June 06, 2001	Charles W. Testino, Jr.; Arizona Investment Advisors, Inc.	63719
June 06, 2001	Wendell T. Decker, Jr.; Oxford Development, L.L.C.; CNT Family Fun Outlets, Inc.	63718
June 06, 2001	Keith B. Davis a.k.a. Skip Davis;; Keith B. Davis, Inc.	63717
May 24, 2001	Mobile Cash Systems, L.L.C.; World Wireless Solutions, Inc. a.k.a. Wireless Express USA, Inc.; World Electronic Payment Solutions, Inc. d.b.a. WEPS; Kimber Lea Baudour; World Cash Providers, L.L.C.; World Cash Providers, Inc.	63680
March 13, 2001	funeral.com, Inc.	63449
February 16, 2001	Progressive Financial Management; RGD; RGD Enterprises, Inc.; Bally Overseas Trading, Inc.	63390

January 11, 2001	David Patten; Albert Tabah; Global Harvest Corp.	63318
December 14, 2000	Marie Lynn Sychowski	63245
December 14, 2000	Robert Scalzi	63244
December 14, 2000	Merle Amundson; Jeanne Amundson	63243
November 30, 2000	Scott A. Gould	63218
November 30, 2000	Jerome Alex Zanowski	63217
November 30, 2000	Deborah Suann Angus	63208
November 16, 2000	William Boyd Gregory; Irma Delores Sanchez; Eye International, L.L.C.; American International Beneficial Association, Inc.	63156
November 11, 2000	Lindzon & Associates L.L.C.	62986
November 02, 2000	Mary Kersey; Successful Finance, Inc.	62991
October 10, 2000	Ethico Medical Management; Jane B. Lewis; Kimberly B. McMahan	62923
October 10, 2000	Matthew E. Hunzinger	62922
October 10, 2000	Jeffery G. Crawford	62921
October 10, 2000	Calumet Slag Inc.	62920
September 18, 2000	Richard John Pello	62911
September 18, 2000	Mining Ventures International, L.L.C.; George Brian Stone; Shirley Burnett	62910
July 25, 2000	Tucson Estate Financial Advisors, Inc.; James R. Kinion, II; Vicki Kinion	62747
July 24, 2000	Craig Lynn Stocksleger	62736
June 30, 2000	Alliance Trust; Chemical Trust; United Marketing Trust; Clifton Wilkinson; Lewey L. Cato, III	62697
June 30, 2000	Charles Shull	62696
June 13, 2000	Desert Dog Productions L.L.C.; Gary E. Mathewson	62654

May 04, 2000	Daniel Garcia; John Ebdon; Carol Ebdon; Charles Shull; Cochise Financial Corp.	62509
April 28, 2000	Susan Marie Wuest	62497
April 28, 2000	William Keith McCrea; Estate Services II, Inc.	62496
April 28, 2000	Ira M. Lavender	62495
April 28, 2000	James Charles Simmons, Jr.	62494
April 14, 2000	William E. Bergh d.b.a. National Financial Group; World Wide Business Opportunities, LLC	62465
April 14, 2000	Thomas Otto Tabat	62464
April 14, 2000	Michael R. French	62463
April 14, 2000	Superior Leasing of Arizona, Inc.; Superior Holding Group, Inc.; Lloyd H. Rockwell	62462
April 14, 2000	Norman L. Paulsrud	62461
April 3, 2000	American Express Financial Advisors	62430
April 3, 2000	Walter M. Elze, Jr.	62429
April 3, 2000	Great Western Investment Trust, Ltd.; Terry Scott Cattell; Susanne Mayfield Cattell; Dusty Buckel	62428
March 31, 2000	Forex Investment Services Corporation; Eastern Vanguard Forex Ltd.; Eastern Vanguard Group Limited; K. (David) Sharma; Sammy Lee Chun Wing; Peter Suen Suk Tak; James Charles Simmons, Jr.; Michael E. Cho; To Fai Cheng; Jean Yuen; Y & T Inc.; Tokyo International Investment Ltd.; Wing Ming Tam; Guo Quan Zhang	62403
March 6, 2000	Jack Allen Gerber; Truck Ville Inc., d.b.a. EZ Finance Auto Sales	62364
March 3, 2000	Forex Investment Services Corporation; Eastern Vanguard Forex, Ltd.; Eastern Vanguard Group, Ltd.; K. David Sharma; Sammy Lee Chun Wing; Peter Suen Suk Tak; Michael E. Cho; To Fai Cheng; Jean Yuen; Y & T, Inc. d.b.a. Tokyo International Investment, Ltd.; Wing Ming Tam; Guo Quan Zhang; James Charles Simmons, Jr.	62403
January 10, 2000	Melvin Bangle	62229
January 10, 2000	Lakeside Trading	62228
November 19, 1999	Premiere Financial Group, Inc.; Heritage Properties, Inc.; Estate Guardian Financial Services, Inc.; John H. Lawson	62097

November 2, 1999	Lowell Robert Taylor	62038
October 8, 1999	Robert Wayne McIntosh	61999
October 8, 1999	James Newton Darwin, II	61998
September 17, 1999	Retirement Investment Group	61966
August 27, 1999	Premium Financial, L.L.C.; Alan Stuart Bernstein; John Wilson Neal; Duke Bushong	61925
August 27, 1999	Heritage West Advisors, L.L.C.	61906
August 27, 1999	DeAngelo & Associates, Inc.	61905
August 27, 1999	Rickie Owen Troxel, individually and d.b.a. Columbus Financial Group	61904
August 12, 1999	Baptist Foundation of Arizona; Arizona Southern Baptist New Church Ventures, Inc.; Christian Financial Partners, Inc.	61881
August 5, 1999	David Carl Taylor	61874
July 21, 1999	R. D. Kushnir & Co.; Jane Rosenberg Kornblut	61851
July 21, 1999	Huntington Investment Company	61850
June 29, 1999	Investviews, LLC	61817
June 29, 1999	AMMO, Inc.; Robert J. Walton; Robert D. Bjerken	61815
June 29, 1999	D.H. Blair & Co., Inc.	61814
May 13, 1999	Kent K. Bullock	61720
May 13, 1999	Richard A. Lavoie	61718
April 1, 1999	Ronald H. Weiner	61632
April 1, 1999	Steven Lyle Belden	61630
April 1, 1999	Wise Choice Discount Brokerage, Inc.; Olawande A. Agunloye, Sr.	61616
April 1, 1999	Meyers Pollack Robbins, Inc.	61615



April 1, 1999	Buckhorn Financial Services, Inc., d.b.a. Buckhorn Financial Service of Arizona; Joseph K Hilyard; Michael Lee Mathis; Safe Keeping, Inc., d.b.a. Safe Keeping Depository, Inc.; Steven L. Shook	61614
March 15, 1999	Planned Estate Consultants, Inc.; Marvin Beckman	61560
February 19, 1999	Milton Royal Barnes III	61542
January 7, 1999	Crowe & Associates, Inc.	61337
January 7, 1999	Daniel R. Wroble	61336