

Ernie Bridges

BEFORE THE ARIZONA CORPORATION COMMISSION

Arizona Corporation Commission

DOCKETED

JUN 29 1999

DOCKETED BY *P.T.*

CARL J. KUNASEK
Chairman
JIM IRVIN
Commissioner
WILLIAM A. MUNDELL
Commissioner

In the matter of the Investment Management application of

INVESTVIEWS, LLC
13440 North 44th Street, #1206
Phoenix, Arizona 85032
(S-0000992-IA) Respondent.

DOCKET NO. S-03331A-99-0000

DECISION NO. 61817

FINAL ORDER AND CONSENT TO SAME

I.

INVESTVIEWS, LLC elects to permanently waive its right to a hearing and appeal under Articles 7 and 8 of the Investment Management Act of Arizona (the Act) with respect to this Final Order and Consent to Same (Order); admits the jurisdiction of the Arizona Corporation Commission (Commission); admits the Findings of Fact and Conclusions of Law contained in this Order; and consents to entry of this Order by the Commission.

II.

FINDINGS OF FACT

1. INVESTVIEWS, LLC ("INVESTVIEWS"), whose last known address is 13440 North 44th Street, #1206, Phoenix, Arizona 85032, was at all times relevant hereto conducting business in the State of Arizona.

2. During the period of August 1, 1998 to March 16, 1999, INVESTVIEWS provided investment advisory services to at least two (2) clients residing within Arizona. INVESTVIEWS was paid at least three hundred fifty-one dollars (\$351) in advisory fees from Arizona clients since August 1, 1998.

3. On March 17, 1999, the Division received an application from INVESTVIEWS to license as an investment adviser.

4. INVESTVIEWS was licensed March 25, 1999.

III.

CONCLUSIONS OF LAW

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3 1. The Commission has jurisdiction over this matter pursuant to Article XV of the Arizona
4 Constitution and pursuant to the Act, A.R.S. § 44-3101 et seq.

5 2. At all relevant times, INVESTVIEWS conducted business as an investment adviser within
6 the meaning of A.R.S. § 44-3101(2).

7 3. At all relevant times, while INVESTVIEWS conducted business as an investment adviser
8 in the State of Arizona, INVESTVIEWS was not licensed as an investment adviser as required by A.R.S.
9 § 44-3151.

10 4. INVESTVIEWS is subject to an assessment pursuant to A.R.S. § 44-3296.

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IV.

ORDER

THEREFORE, on the basis of the Findings of Fact and Conclusions of Law contained in this Order, The Commission finds the following Order is appropriate, in the public interest, and necessary for the protection of investors:

1. IT IS ORDERED that, pursuant to A.R.S. § 44-3296, INVESTVIEWS shall pay an assessment in the amount of five hundred dollars (\$500) payable upon entry of this Order.

2. IT IS FURTHER ORDERED that this Order shall become effective immediately.

BY ORDER OF THE ARIZONA CORPORATION COMMISSION

Bill Kernach
CHAIRMAN

James Swin
COMMISSIONER

COMMISSIONER

IN WITNESS WHEREOF, I, BRIAN C. McNEIL, Executive Secretary of the Arizona Corporation Commission, have hereunto set my hand and caused the official seal of the Commission to be affixed at the Capitol, in the City of Phoenix, this 29th day of June, 1999.

Brian C. McNeil
BRIAN C. McNEIL
Executive Secretary

DISSENT

N:\Registration\Corpfin\FY9798\Pleading\INVESTVIEWS,LLC ORDER

CONSENT TO ENTRY OF ORDER BY THE COMMISSION
AND WAIVER OF HEARING

INVESTVIEWS, LLC ("INVESTVIEWS") acknowledges that it has been fully advised of their right to a hearing to present evidence and call witnesses. INVESTVIEWS waives all hearing procedures and right to appeal provided by the Securities Act of Arizona with respect to this Order.

INVESTVIEWS admits the jurisdiction of the Arizona Corporation Commission with respect to matters set forth in this Order and Consent.

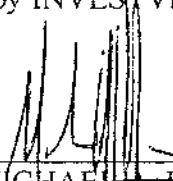
INVESTVIEWS admits the Findings of Fact and Conclusions of Law contained in this Order and Consent.

INVESTVIEWS consents to the entry of this Order and Consent.

INVESTVIEWS states that their entry into this Consent to Entry of Order is a voluntary act and that no promise was made nor coercion used to induce INVESTVIEWS to enter into it.

INVESTVIEWS understands that this Consent to Entry of Order does not preclude the Commission or any other agency, officer of this state or its subdivision, from instituting other civil or criminal proceedings now or in the future.


MICHAEL A. HERZOG, represents that he is Managing Member of INVESTVIEWS, LLC and that, as such, he has been authorized by INVESTVIEWS, LLC to enter this Order and Consent for, and on behalf of INVESTVIEWS, LLC.



MICHAEL A. HERZOG
INVESTVIEWS, LLC

SUBSCRIBED AND SWORN TO BEFORE me this 13 day of May, 1999.

My Commission Expires:
10-15-2001



NOTARY PUBLIC
BONNIE L. MEEKER
Notary Public State of Arizona
Maricopa County
My Comm Expires 10/15/01