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BEFORE THE ARIZONA CORPORATION COMMISSION

DOCKETED

JAN 10 2000

CARL J. KUNASEK
Chairman
JIM IRVIN
Commissioner
WILLIAM A. MUNDELL
Commissioner

DOCKETED BY 

In the matter of)
)
LAKESIDE TRADING)
3850 N. Causeway Blvd, Suite 710)
Metairie, LA 70002)
CRD#39418,)
)
THOMAS G. RUSSELL)
218 West Livingston Place)
Metairie, LA 70005)
CRD #2669033)

DOCKET NO. S-3370A-99-0000

ORDER REVOKING SECURITIES
DEALER AND SALESMAN
REGISTRATION

Decision No. 62228

Respondents.

I.

INTRODUCTION

On November 29, 1999, the Securities Division (Division) of the Arizona Corporation Commission (Commission) filed a Notice of Opportunity for Hearing Regarding Proposed Order of Revocation (Notice) against LAKESIDE TRADING (LAKESIDE) and THOMAS G. RUSSELL (RUSSELL). The Notice specified that LAKESIDE and RUSSELL would be afforded an opportunity for an administrative hearing regarding this matter upon filing a written request with Docket Control of the Commission within ten (10) days of receipt of the Notice. LAKESIDE and RUSSELL failed to request a hearing within the required time.

II.

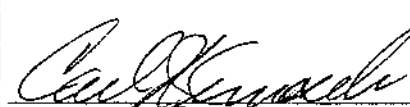
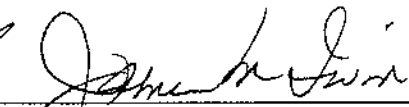

FINDINGS OF FACT

1. LAKESIDE, whose last known address is 3850 N. Causeway Blvd., Suite 710, Metairie, LA 70002, is a registered dealer in Arizona.

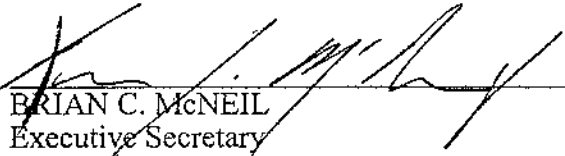
1 IT IS FURTHER ORDERED that the securities salesman registration of THOMAS G.
2 RUSSELL be revoked.

3 This Order shall become effective within ten days after service of the Order unless a motion for
4 rehearing or review is filed pursuant to A.A.C. R14-3-112.

5
6 BY ORDER OF THE ARIZONA CORPORATION COMMISSION

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9   
10 CHAIRMAN COMMISSIONER COMMISSIONER

11 IN WITNESS WHEREOF, I, Brian C. McNeil, Executive
12 Secretary of the Arizona Corporation Commission, have
13 hereunto set my hand and caused the official seal of the
14 Commission to be affixed at the Capitol, in the City of
15 Phoenix, this 15th day of January, 2000.

16
17 
18 BRIAN C. McNEIL
19 Executive Secretary

20 _____
21 DISSENT

22 PTJ

23
24 This document is available in alternative formats by contacting Cynthia Mercurio-Sandoval, ADA
25 Coordinator, voice phone number 602/542-0838, E-mail csandoval@cc.state.az.us.
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