

TO: Public Service Corporations (Water Utilities)

FROM: Director, Utilities Division  
Arizona Corporation Commission  
1200 West Washington Street  
Phoenix, Arizona 85007

RE: UTILITIES DIVISION ANNUAL REPORT, CALENDAR YEAR ENDING DECEMBER  
31, 2016

Attached/enclosed is a copy of the Utilities Division Annual Report form for the calendar year ending December 31, 2016.

All public service corporations must file a Utilities Division Annual Report with the Commission pursuant to the Constitution of the State of Arizona, Article 15, Section 13; Arizona Revised Statutes, Section 40-204; and Commission Rules contained in the Arizona Administrative Code R14-2-411.(D)(4).

The Annual Report must be completed and filed by **April 15, 2017**. **You now have two options for completing and filing the Annual Report:**

1. You may complete the Annual Report form electronically. Simply save the attached excel spreadsheet with your completed information, and email the excel spreadsheet to: [rdelafuente@azcc.gov](mailto:rdelafuente@azcc.gov). Please note that you are still required to print, sign, and notarize tabs 11, 13, and 14, and either scan those pages and email them to [rdelafuente@azcc.gov](mailto:rdelafuente@azcc.gov) or mail/deliver them to the address listed below. For assistance with the electronic version of the Annual Report or more information on how to assemble a rate case application for **Class D and E** utilities using this Annual Report form, contact Briton Baxter at 602.542.7195.
2. Just like you have in past years, simply fill out this copy. Scan the completed form and email it to [rdelafuente@azcc.gov](mailto:rdelafuente@azcc.gov) or mail/deliver the completed Annual Report to:

**Arizona Corporation Commission  
Compliance Section – Utilities Division  
1200 West Washington Street  
Phoenix, Arizona 85007**

Failure to file an Annual Report by **April 15, 2017** will result in the issuance of a complaint and order to show cause resulting in administrative fees. If you require additional time to file your Annual Report, you may make a request to the Commission by addressing a letter to:

**Arizona Corporation Commission  
Compliance Section – Utilities Division  
1200 West Washington Street  
Phoenix, Arizona 85007**

Don't forget--you must still file the "VERIFICATION AND SWORN STATEMENT" and the "VERIFICATION AND SWORN STATEMENT RESIDENTIAL REVENUE" forms from the back of the Annual Report form (or tabs AR11, AR13, and AR14 from the electronic version) by **MAY 1, 2017**, pursuant to Arizona Revised Statute 40-401.

**DO NOT DOCKET ANNUAL REPORT**

**ANNUAL REPORT**

Of

Company Name:

[Redacted]

Mailing Address:

Docket No.:

[Redacted]

For the Year Ended:

12/31/16

**WASTEWATER UTILITY**

To

Arizona Corporation Commission

**Due on April 15th**

Email: rdelafuente@azcc.gov, mail or deliver the completed Annual Report to:

Arizona Corporation Commission  
Compliance Section - Utilities Division  
1200 West Washington Street  
Phoenix, Arizona 85007

Application Type:

Original Filing

Application Date:

2016

ARIZONA CORPORATION COMMISSION  
WASTEWATER UTILITY ANNUAL REPORT

0

A Class  Utility

1. For the Calendar Year Ended: 12/31/16

2. Address:   
City:  State:  Zip Code:

3. Telephone Number:

4. Date of Original Organization of Utility:

5. Person to whom correspondence should be addressed concerning this report:

Name:   
Telephone No. :   
Address:   
City:  State:  Zip Code:   
Email:

6. On-Site Manager:

Name:   
Telephone No. :   
Address:   
City:  State:  Zip Code:   
Email:

7. Ownership:

8. Counties Served:

Utility Plant in Service (Wastewater)				
Account No.	Description	Original Cost	Accumulated Depreciation	OCLD (OC less AD)
351	Organization			\$0
352	Franchises			0
353	Land and Land Rights			0
354	Structures and Improvements			0
355	Power Generation Equipment			0
360	Collections Wastewater - Force			0
361	Collections Wastewater - Gravity			0
362	Special Collecting Structures			0
363	Services to Customers			0
364	Flow Measuring Devices			0
365	Flow Measuring Installations			0
367	Reuse Meters & Meter Installations			0
370	Receiving Wells			0
371	Pumping Equipment			0
374	Reuse Distribution Reservoirs			0
375	Reuse Trans. And Distr. Equipment			0
380	Treatment and Disposal Equipment			0
381	Plant Sewers			0
382	Outfall Sewer Lines			0
389	Other Plant and Misc. Equipment			0
390	Office Furniture and Equipment			0
390.1	Computer & Software			0
391	Transportation Equipment			0
393	Tools, Shop and Garage Equipment			0
394	Laboratory Equipment			0
395	Power Operated Equipment			0
396	Communication Equipment			0
397	Miscellaneous Equipment			0
398	Other Tangible Plant			0
	<b>Totals</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

Depreciation Expense for the Current Year (Wastewater)								
Account No.	Description	Original Cost	Current Year Additions	Adjusted Original Cost	Fully Depreciated/Non-depreciable Plant	Depreciable Plant	Depreciation Percentages	Depreciation Expense
351	Organization	\$0		\$0		\$0		\$0
352	Franchises	0		0		0		0
353	Land and Land Rights	0		0		0		0
354	Structures and Improvements	0		0		0		0
355	Power Generation Equipment	0		0		0		0
360	Collections Wastewater - Force	0		0		0		0
361	Collections Wastewater - Gravity	0		0		0		0
362	Special Collecting Structures	0		0		0		0
363	Services to Customers	0		0		0		0
364	Flow Measuring Devices	0		0		0		0
365	Flow Measuring Installations	0		0		0		0
367	Reuse Meters & Meter Installations	0		0		0		0
370	Receiving Wells	0		0		0		0
371	Pumping Equipment	0		0		0		0
374	Reuse Distribution Reservoirs	0		0		0		0
375	Reuse Trans. And Distr. Equipment	0		0		0		0
380	Treatment and Disposal Equipment	0		0		0		0
381	Plant Sewers	0		0		0		0
382	Outfall Sewer Lines	0		0		0		0
389	Other Plant and Misc. Equipment	0		0		0		0
390	Office Furniture and Equipment	0		0		0		0
390.1	Computer & Software	0		0		0		0
391	Transportation Equipment	0		0		0		0
393	Tools, Shop and Garage Equipment	0		0		0		0
394	Laboratory Equipment	0		0		0		0
395	Power Operated Equipment	0		0		0		0
396	Communication Equipment	0		0		0		0
397	Miscellaneous Equipment	0		0		0		0
398	Other Tangible Plant	0		0		0		0
	<b>Subtotal</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>		<b>\$0</b>

Contribution(s) in Aid of Construction (Gross) 0  
 Less: Non Amortizable Contribution(s) 0  
 Fully Amortized Contribution(s) \$0  
 Amortizable Contribution(s)  
 Times: Proposed Amortization Rate  
**Amortization of CIAC**

Less: Amortization of CIAC

**DEPRECIATION EXPENSE**

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

Balance Sheet Assets				
	Assets		Balance at Beginning of Year (2016)	Balance at End of Year (2016)
<b>Account No.</b>	<b>Current and Accrued Assets</b>			
131	Cash			
132	Special Deposits			
135	Temporary Cash Investments			
141	Customer Accounts Receivable			
142	Other Accounts Receivable			
143	Accumulated Provision for Uncollectable Accounts			
146	Notes Receivable from Associated Companies			
151	Plant Material and Supplies			
162	Prepayments			
173	Accrued Utility Revenue			
174	Miscellaneous Current and Accrued Assets			
	<b>Total Current and Accrued Assets</b>		<b>\$0</b>	<b>\$0</b>
	<b>Deferred Debits</b>			
186.1	Deferred Rate Case Expense			
	<b>Total Deferred Debits</b>		<b>\$0</b>	<b>\$0</b>
	<b>Fixed Assets</b>			
101	Utility Plant in Service*			\$0
103	Property Held for Future Use			
105	Construction Work in Progress			
108	Accumulated Depreciation <b>(enter as negative)*</b>			0
121	Non-Utility Property			
122	Accumulated Depreciation - Non Utility			
	<b>Total Fixed Assets</b>		<b>\$0</b>	<b>\$0</b>
	<b>Total Assets</b>		<b>\$0</b>	<b>\$0</b>

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

Note these items feed automatically from the adjusted end of year balance from AR4.

Balance Sheet Liabilities and Owners Equity				
	Liabilities		Balance at Beginning of Year (2016)	Balance at End of Year (2016)
<b>Account No.</b>	<b>Current Liabilities</b>			
231	Accounts Payable			
232	Notes Payable (Current Portion)			
234	Notes Payable to Associated Companies			
235	Customer Deposits			
236	Accrued Taxes			
237	Accrued Interest			
241	Miscellaneous Current and Accrued Liabilities			
253	Other Deferred Credits			
	<b>Total Current Liabilities</b>		<b>\$0</b>	<b>\$0</b>
	<b>Long Term Debt</b>			
224	Long Term Debt (Notes and Bonds)			
	<b>Total Long Term Debt</b>		<b>\$0</b>	<b>\$0</b>
	<b>Deferred Credits</b>			
252	Advances in Aid of Construction			
255	Accumulated Deferred Investment Tax Credits			
271	Contributions in Aid of Construction			
272	Less: Amortization of Contributions			
281	Accumulated Deferred Income Tax			
	<b>Total Deferred Credits</b>		<b>\$0</b>	<b>\$0</b>
	<b>Total Liabilities</b>		<b>\$0</b>	<b>\$0</b>
	<b>Capital Accounts</b>			
201	Common Stock Issued			
211	Other Paid-In Capital			
215	Retained Earnings			
218	Proprietary Capital (Sole Props and Partnerships)			
	<b>Total Capital</b>		<b>\$0</b>	<b>\$0</b>
	<b>Total Liabilities and Capital</b>		<b>\$0</b>	<b>\$0</b>

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

**Note:** Total liabilities and Capital must match total assets for the beginning and end of the year!

<b>Wastewater Comparative Income Statement</b>			
Account No.	Calendar Year	Current Year 01/01/2016 - 12/31/2016	Last Year 01/01/2015 - 12/31/2015
	<b>Operating Revenue</b>		
521	Flat Rate Revenues		
522	Measured Revenues		
534	Rents from Wastewater Property		
539	Other Wastewater Revenues		
	<b>Total Revenues</b>	<b>\$0</b>	<b>\$0</b>
	<b>Operating Expenses</b>		
701	Salaries and Wages		
704	Employee Pensions and Benefits		
710	Purchased Wastewater Treatment		
711	Sludge Removal Expense		
715	Purchased Power		
716	Fuel for Power Production		
718	Chemicals		
720	Materials and Supplies		
721	Office Expense		
731	Contractual Services -Engineering		
732	Contractual Services - Accounting		
733	Contractual Services - Legal		
734	Contractual Services - Management Fees		
735	Contractual Services - Testing		
736	Contractual Services - Other		
740	Rents - Building		
742	Rents - Equipment		
750	Transportation Expenses		
757	Insurance - General Liability		
758	Insurance - Worker's Compensation		
759	Insurance - Other		
760	Advertising Expense		
766	Regulatory Commission Expense - Rate Case		
767	Regulatory Commission Expense - Other		
770	Bad Debt Expense		
775	Miscellaneous Expense		
403	Depreciation Expense (From Schedule AR4)		
408	Taxes Other Than Income		
408.11	Property Taxes		
408.12	Payroll Taxes		
409	Income Taxes		
	<b>Total Operating Expenses</b>	<b>\$0</b>	<b>\$0</b>
	<b>Operating Income / (Loss)</b>	<b>\$0</b>	<b>\$0</b>
	<b>Other Income / (Expense)</b>		
414	Gain (Loss) on Dispositions		
419	Interest and Dividend Income		
421	Non-Utility Income		
426	Miscellaneous Non-Utility (Expense)		
427	Interest (Expense)		
	<b>Total Other Income / (Expense)</b>	<b>\$0</b>	<b>\$0</b>
	<b>Net Income / (Loss)</b>	<b>\$0</b>	<b>\$0</b>

**Instructions:** Fill out the Grey Cells with the relevent information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.



Annual Report  
 Supplemental Financial Data (Long-Term Debt)  
 12/31/16

Supplemental Financial Data (Long-Term Debt)				
	Loan #1	Loan #2	Loan #3	Loan #4
Date Issued				
Source of Loan				
ACC Decision No.				
Reason for Loan				
Dollar Amt. Issued				
Amount Outstanding				
Date of Maturity				
Interest Rate				
Current Year Interest				
Current Year Principal				

Meter Deposit Balance at Test Year End:	
---	--

Meter Deposits Refunded During the Test Year:	
---	--

***List all bonds, notes, loans, and other types of indebtedness in which the proceeds were used in the provision of public utility service. Indebtedness incurred for personal uses by the owner of the utility should not be listed. Input 0 or none if there is nothing to report for that cell.***

<b>Wastewater Utility Plant Description</b>
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<u>Name of System:</u>	
<u>Wastewater Inventory Number (if applicable):</u>	
<u>Type of Treatment</u>	
<u>Design Capacity of Plant (Gallons per day)</u>	

<b>LIFT STATION FACILITIES</b>				
Location	Quantity of Pumps	Horsepower Per Pump	Capacity Per Pump (GPM)	Wet Well Capacity (gals)

<b>FORCE MAINS</b>		
Size	Material	Length (Feet)

<b>MANHOLES</b>	
Type	Quantity
Standard	
Drop	

<b>CLEANOUTS</b>
Quantity

<b>Note:</b> If you are filing for more than one system, please provide separate sheets for each system.
--

<b>Instructions:</b> Fill out the Grey Cells with the relevent information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report. Copy and paste this sheet as many times as is necessary.
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Annual Report  
 Wastewater Flows  
 12/31/16

Wastewater Flows			
Month	Number of Services	Total Monthly Sewage Flow	Sewage Flow on Peak Day
January			
February			
March			
April			
May			
June			
July			
August			
September			
October			
November			
December			
	<b>Totals</b>	<b>0</b>	<b>0</b>

Provide the following information as applicable per wastewater system:

Method of Effluent Disposal	
Groundwater Permit Number	
ADEQ Aquifer Protection Permit ("APP") Number	
ADEQ Reuse Permit Number	
EPA NPDES Permit Number	
APP Effluent Treatment Requirement (Class)?	

**Note:** If you are filing for more than one system, please provide separate sheets for each system.

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

0

Annual Report  
Utility Shutoffs / Disconnects  
12/31/16

Name of System:	0
Wastewater Inventory Number (if applicable):	0

Utility Shutoffs / Disconnects			
Month	Termination without Notice R14-2-609.B	Termination with Notice R14-2-609.C	Other
January			
February			
March			
April			
May			
June			
July			
August			
September			
October			
November			
December			
<b>Total</b>	<b>0</b>	<b>0</b>	<b>0</b>

Other (description):

--

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

0

Annual Report  
Property Taxes  
12/31/16

**Property Taxes**

Amount of actual property taxes paid during Calendar Year 2015 was

If no property taxes paid, explain why.

**Instructions:** Fill out the Grey Cells with the relevant information. Input 0 or none if there is nothing recorded in that account or there is no applicable information to report.

**Verification and Statement (Taxes)**

**Verification:** State of 0 I, the undersigned of the  
(state name)

County of (county name): 0  
Name (owner or official) title: 0  
Company name: 0

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION.

FOR THE YEAR ENDING: 12/31/16

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**Sworn Statement:** I HEREBY ATTEST THAT ALL PROPERTY TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

I HEREBY ATTEST THAT ALL SALES TAXES FOR SAID COMPANY ARE CURRENT AND PAID IN FULL.

\_\_\_\_\_  
signature of owner/official

0  
\_\_\_\_\_  
telephone no.

SUBSCRIBED AND SWORN TO BEFORE ME A NOTARY PUBLIC  
IN AND FOR THE COUNTY

\_\_\_\_\_  
(county name)

THIS \_\_\_\_\_ DAY OF \_\_\_\_\_  
(month) and (year)

MY COMMISSION EXPIRES \_\_\_\_\_  
(date)

\_\_\_\_\_  
(signature of notary public)

**Verification and Statement**

**Verification:**

State of  I, the undersigned of the  
(state name)

County of (county name):

Name (owner or official) title:

Company name:

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION.

FOR THE YEAR ENDING: 12/31/16

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**Sworn Statement:** IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING THE CALENDAR YEAR WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)

(The amount in the box above includes

in sales taxes

billed or collected)

\_\_\_\_\_  
signature of owner/official

\_\_\_\_\_  
telephone no.

SUBSCRIBED AND SWORN TO BEFORE ME A NOTARY PUBLIC  
IN AND FOR THE COUNTY

THIS \_\_\_\_\_ DAY OF \_\_\_\_\_  
(month) and (year)

MY COMMISSION EXPIRES \_\_\_\_\_  
(date)

\_\_\_\_\_  
(signature of notary public)



**Verification and Statement (Residential Revenue)**

**Verification:**

State of  I, the undersigned of the  
(state name)

County of (county name):   
Name (owner or official) title:   
Company name:

DO SAY THAT THIS ANNUAL UTILITY PROPERTY TAX AND SALES TAX REPORT TO THE ARIZONA CORPORATION COMMISSION.

FOR THE YEAR ENDING: 12/31/16

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

**Sworn Statement:** IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING THE CALENDAR YEAR WAS:

Arizona Intrastate Gross Operating Revenues Only (\$)

(The amount in the box above includes  
 in sales taxes  
billed or collected)

\_\_\_\_\_  
signature of owner/official

telephone no.

SUBSCRIBED AND SWORN TO BEFORE ME A NOTARY PUBLIC  
IN AND FOR THE COUNTY

\_\_\_\_\_  
(county name)

THIS \_\_\_\_\_ DAY OF \_\_\_\_\_  
(month) and (year)

MY COMMISSION EXPIRES \_\_\_\_\_  
(date)

\_\_\_\_\_  
(signature of notary public)