



Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission
 Program: 4 Securities

	FY 2014	FY 2015	FY 2016	FY 2016
	Actual	Expd. Plan	Fund. Issue	Total
Fund: 2264-A Securities Regulatory & Enforcement (Appropriated)				
Program Expenditures				
COST CENTER/PROGRAM BUDGET UNIT				
4-1 Securities	3,704.0	3,784.3	343.0	4,127.3
4-2 SLI Securities Division Database Upgrade	0.0	750.0	0.0	750.0
Total	3,704.0	4,534.3	343.0	4,877.3
Appropriated Funding				
Expenditure Categories				
FTE Positions	42.4	42.4	0.0	42.4
Personal Services	2,276.2	2,447.1	180.0	2,627.1
Employee Related Expenses	991.1	926.0	63.0	989.0
Prof. And Outside Services	60.8	50.0	0.0	50.0
Travel In-State	16.8	15.0	0.0	15.0
Travel Out of State	6.1	5.0	0.0	5.0
Food (Library for Universities)	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	338.8	1,091.2	100.0	1,191.2
Equipment	14.2	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	3,704.0	4,534.3	343.0	4,877.3
Fund 2264-A Total:	3,704.0	4,534.3	343.0	4,877.3

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission
 Program: 4 Securities

FY 2014 FY 2015 FY 2016
 Actual Expd. Plan Fund. Issue Total

Fund: 2404-A Securities Investment Management Fund (Appropriated)

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

4-1 Securities	714.2	715.7	0.0	715.7
Total	714.2	715.7	0.0	715.7

Appropriated Funding

Expenditure Categories

FTE Positions	13.0	13.0	0.0	13.0
Personal Services	538.6	545.5	0.0	545.5
Employee Related Expenses	172.0	170.0	0.0	170.0
Prof. And Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.2	0.0	0.0	0.0
Travel Out of State	0.4	0.0	0.0	0.0
Food (Library for Universities)	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	3.0	0.2	0.0	0.2
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

	714.2	715.7	0.0	715.7
Fund 2404-A Total:	714.2	715.7	0.0	715.7

Program 4 Total:

	4,418.2	5,250.0	343.0	5,593.0
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Program Summary of Expenditures and Budget Request

Agency: CCA Corporation Commission
 Program: 4 Securities

	FY 2014 Actual	FY 2015 Expd. Plan	FY 2016 Fund. Issue	FY 2016 Total
Program Summary				
4-1 Securities	4,418.2	4,500.0	343.0	4,843.0
4-2 SLI Securities Division Database Upgrade	0.0	750.0	0.0	750.0
Program Summary Total:	4,418.2	5,250.0	343.0	5,593.0
Expenditure Categories				
0000 FTE Positions	55.4	55.4	0.0	55.4
6000 Personal Services	2,814.8	2,992.6	180.0	3,172.6
6100 Employee Related Expenses	1,163.1	1,096.0	63.0	1,159.0
6200 Prof. And Outside Services	60.8	50.0	0.0	50.0
6500 Travel In-State	17.0	15.0	0.0	15.0
6600 Travel Out of State	6.5	5.0	0.0	5.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	341.8	1,091.4	100.0	1,191.4
8000 Equipment	14.2	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:	4,418.2	5,250.0	343.0	5,593.0
Fund Source				
Appropriated Funds				
2264-A Securities Regulatory & Enforcement (Appropriate	3,704.0	4,534.3	343.0	4,877.3
2404-A Securities Investment Management Fund (Appropri	714.2	715.7	0.0	715.7
Fund Source Total:	4,418.2	5,250.0	343.0	5,593.0

All dollars are presented in thousands (not FTE).

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission

	FY 2014	FY 2015	FY 2016	FY 2016
	Actual	Expd. Plan	Fund. Issue	Total

Program: 4-1 Securities

Fund: 2264-A Security Regulatory and Enforcement Fund

Appropriated

0000 FTE	42.4	42.4	0.0	42.4
6000 Personal Services	2,276.2	2,447.1	180.0	2,627.1
6100 Employee Related Expenses	991.1	926.0	63.0	989.0
6200 Prof. And Outside Services	60.8	50.0	0.0	50.0
6500 Travel In-State	16.8	15.0	0.0	15.0
6600 Travel Out of State	6.1	5.0	0.0	5.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	338.8	341.2	100.0	441.2
8000 Equipment	14.2	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0
Appropriated Total:	3,704.0	3,784.3	343.0	4,127.3
Fund Total:	3,704.0	3,784.3	343.0	4,127.3

Fund: 2404-A Securities Investment Management Fund

Appropriated

0000 FTE	13.0	13.0	0.0	13.0
6000 Personal Services	538.6	545.5	0.0	545.5
6100 Employee Related Expenses	172.0	170.0	0.0	170.0
6200 Prof. And Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.2	0.0	0.0	0.0
6600 Travel Out of State	0.4	0.0	0.0	0.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	3.0	0.2	0.0	0.2
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	CCA Corporation Commission					
Program:	4-1	Securities				
Fund:	2404-A	Securities Investment Management Fund				
			FY 2014	FY 2015	FY 2016	FY 2016
			Actual	Expd. Plan	Fund. Issue	Total
Appropriated						
	8600	Debt Service	0.0	0.0	0.0	0.0
	9000	Cost Allocation	0.0	0.0	0.0	0.0
	9100	Transfers	0.0	0.0	0.0	0.0
		Appropriated Total:	714.2	715.7	0.0	715.7
		Fund Total:	714.2	715.7	0.0	715.7
		Program Total For Selected Funds:	4,418.2	4,500.0	343.0	4,843.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
 Program: 4-1 Securities

Expenditure Categories	FY 2014	FY 2015	FY 2016	FY 2016
	Actual	Expd. Plan	Fund. Issue	Total
0000 FTE	55.4	55.4	0.0	55.4
6000 Personal Services	2,814.8	2,992.6	180.0	3,172.6
6100 Employee Related Expenses	1,163.1	1,096.0	63.0	1,159.0
6200 Prof. And Outside Services	60.8	50.0	0.0	50.0
6500 Travel In-State	17.0	15.0	0.0	15.0
6600 Travel Out of State	6.5	5.0	0.0	5.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	341.8	341.4	100.0	441.4
8000 Equipment	14.2	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

4,418.2 4,500.0 343.0 4,843.0

Fund Source

Appropriated Funds

2264-A Securities Regulatory & Enforcement (Appropriate
 2404-A Securities Investment Management Fund (Appropri

3,704.0 3,784.3 343.0 4,127.3
 714.2 715.7 0.0 715.7

Fund Source Total:

4,418.2 4,500.0 343.0 4,843.0
 4,418.2 4,500.0 343.0 4,843.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
FTE Positions		
FTE	55.4	55.4
Expenditure Category Total	55.4	55.4
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	42.4	42.4
2404-A Securities Investment Management Fund (Appropriated)	13.0	13.0
Fund Source Total	55.4	55.4

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Personal Services		
Personal Services	2,814.8	2,992.6
Boards and Commissions	0.0	0.0
Uniform Allowance	0.0	0.0
Retention	0.0	0.0
Expenditure Category Total	2,814.8	2,992.6
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	2,276.2	2,447.1
2404-A Securities Investment Management Fund (Appropriated)	538.6	545.5
Fund Source Total	2,814.8	2,992.6

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Employee Related Expenses		
Employee Related Expenses	1,163.1	1,096.0
Expenditure Category Total	1,163.1	1,096.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	991.1	926.0
2404-A Securities Investment Management Fund (Appropriated)	172.0	170.0
Fund Source Total	1,163.1	1,096.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Professional & Outside Services		
Financial Services from Other State Agy	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	57.4	45.0
Preliminary Engineering	0.0	0.0
Construction Engineering	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.1	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Professional & Outside Services		
Hospital Services	0.0	0.0
Other Medical Services	0.3	2.5
Institutional Care	0.0	0.0
Education & Training	0.2	0.0
Non-Employee Travel	0.0	0.0
Other Professional & Outside Services	2.8	2.5
Expenditure Category Total	60.8	50.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	60.8	50.0
	60.8	50.0
Fund Source Total	60.8	50.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Travel In-State		
Travel In-State	17.0	15.0
Expenditure Category Total	17.0	15.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	16.8	15.0
2404-A Securities Investment Management Fund (Appropriated)	0.2	0.0
	17.0	15.0
Fund Source Total	17.0	15.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Travel Out-of-State		
Travel Out of State	6.5	5.0
Expenditure Category Total	6.5	5.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	6.1	5.0
2404-A Securities Investment Management Fund (Appropriated)	0.4	0.0
	6.5	5.0
Fund Source Total	6.5	5.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Food (Library for Universities)		
Food (Library for Universities)	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Aid to Organizations & Individuals		
Aid to Organizations and Individuals	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Aid to Organizations & Individuals		
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Other Operating Expenditures		
Insurance & Related Charges	3.4	3.0
Information Technology Services	42.5	43.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.1	0.0
Building Rent Charges to State Agencies	212.7	212.7
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	6.7	6.7
Software Support and Maintenance	0.0	0.0
Operating Supplies	23.9	25.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	10.2	10.0
Advertising	1.0	1.0
Printing & Photography	0.1	0.0
Postage & Delivery	6.0	5.0
Miscellaneous Operating	35.2	35.0
Depreciation Expense	0.0	0.0
Expenditure Category Total	341.8	341.4

Fund Source	FY 2014 Actual	FY 2015 Expd. Plan
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	338.8	341.2
2404-A Securities Investment Management Fund (Appropriated)	3.0	0.2
	341.8	341.4
Fund Source Total	341.8	341.4

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Equipment		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	2.5	0.0
EDP Equipment - Mainframe - Non-Capital	10.9	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Equipment		
EDP Equipment - Midrange - Non-Capital	0.0	0.0
EDP Equipment - PCs/LAN - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	0.0	0.0
Non-Capitalized Software	0.8	0.0
Expenditure Category Total	14.2	0.0

Fund Source	FY 2014 Actual	FY 2015 Expd. Plan
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	14.2	0.0
Fund Source Total	14.2	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Capital Outlay		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Debt Services		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Cost Allocation		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Transfers		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Classification Listing				
Class Code	Title	Grade	Total FTE	Personal Services as of 07/01/14
AUN06	CC ACCOUNTING TECHNICIAN III	14	2.0	54.5
AUN06	CC ADMV ASST III	17	2.0	79.4
AUN01	CC ADMV SVCS OFFCR 1	19	1.0	40.4
AUN01	CC ASSISTANT DIVISION DIRECTOR	01	1.0	111.2

Program Expenditure Schedule

Agency:	CCA Corporation Commission		
Program:	4-1	Securities	

AUN04	CC COLLECTOR III	18	1.0	34.0
AUN01	CC DIVISION DIRECTOR	01	1.0	121.5
AUN03	CC LEGAL ASSISTANT III	19	4.0	186.1
AUN02	CC SEC DEALER/INVTM ADVR EXMR	20	3.0	145.9
AUN06	CC SECURITIES INVTGN SPVR	21	1.0	58.0
AUN03	CC SECURITIES SPECIALIST III	03	8.0	496.2
AUN03	CC SECURITIES SPECIALIST SPV	04	1.0	84.0
AUN02	CC SECURITY ATTORNEY	01	12.0	1,134.1
AUN02	CC SECURITY/INVTM ADVISOR SPV	22	1.0	55.0
AUN03	CC SPECIAL INVESTIGATOR	20	8.0	376.3
ACV31	CLERK TYPIST II	09	1.0	25.6
ACV31	CLERK TYPIST III	11	1.0	28.0
AUN09	INVTGTR 3	18	3.0	141.1
ACV76	STATE EXAMINER II	15	4.0	125.6
AUN02	STATE GOVERNMENT INTERN	01	0.4	20.8

Employee Retirement Coverage

Retirement System	FTE	Personal Services
State Retirement System	55.4	3,317.7

Combined Regular & Elected Positions At/Above FICA Maximum of \$117,000
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Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
1.0	121.5	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
 Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Categories	FY 2014	FY 2015	FY 2016	FY 2016
	Actual	Expd. Plan	Fund. Issue	Total
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Prof. And Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	0.0	750.0	0.0	750.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

0.0 750.0 0.0 750.0

Fund Source

Appropriated Funds

2264-A Securities Regulatory & Enforcement (Appropriate)

750.0

0.0

0.0

750.0

0.0

750.0

0.0

750.0

0.0

750.0

Fund Source Total:

0.0 750.0 0.0 750.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission

FY 2014 FY 2015 FY 2016 FY 2016

Actual Expd. Plan Fund. Issue Total

Program: 4-2 SLI Securities Division Database Upgrade

Fund: 2264-A Security Regulatory and Enforcement Fund

Appropriated

6000	Personal Services	0.0	0.0	0.0	0.0
6100	Employee Related Expenses	0.0	0.0	0.0	0.0
6200	Prof. And Outside Services	0.0	0.0	0.0	0.0
6500	Travel In-State	0.0	0.0	0.0	0.0
6600	Travel Out of State	0.0	0.0	0.0	0.0
6700	Food (Library for Universities)	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	0.0	750.0	0.0	750.0
8000	Equipment	0.0	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Appropriated Total:		0.0	750.0	0.0	750.0
Fund Total:		0.0	750.0	0.0	750.0
Program Total For Selected Funds:		0.0	750.0	0.0	750.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
FTE Positions		
FTE	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Personal Services		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
Uniform Allowance	0.0	0.0
Retention	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Employee Related Expenses		
Employee Related Expenses	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Professional & Outside Services		
Financial Services from Other State Agy	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	0.0	0.0
Preliminary Engineering	0.0	0.0
Construction Engineering	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.0	0.0
Hospital Services	0.0	0.0
Other Medical Services	0.0	0.0
Institutional Care	0.0	0.0
Education & Training	0.0	0.0
Non-Employee Travel	0.0	0.0
Other Professional & Outside Services	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Travel In-State		
Travel In-State	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency: **CCA Corporation Commission**

Program: **4-2 SLI Securities Division Database Upgrade**

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Travel Out-of-State		
Travel Out of State	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Food (Library for Universities)		
Food (Library for Universities)	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Aid to Organizations & Individuals		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Other Operating Expenditures		
Insurance & Related Charges	0.0	0.0
Information Technology Services	0.0	0.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	0.0	0.0
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	0.0	0.0
Software Support and Maintenance	0.0	750.0
Operating Supplies	0.0	0.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	0.0	0.0
Advertising	0.0	0.0
Printing & Photography	0.0	0.0
Postage & Delivery	0.0	0.0
Miscellaneous Operating	0.0	0.0
Depreciation Expense	0.0	0.0
Expenditure Category Total	0.0	750.0

Fund Source	FY 2014 Actual	FY 2015 Expd. Plan
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	0.0	750.0
Fund Source Total	0.0	750.0

Program Expenditure Schedule

Agency: **CCA Corporation Commission**

Program: **4-2 SLI Securities Division Database Upgrade**

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Equipment		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	0.0	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
EDP Equipment - Midrange - Non-Capital	0.0	0.0
EDP Equipment - PCs/LAN - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	0.0	0.0
Non-Capitalized Software	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Capital Outlay		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Debt Services		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Cost Allocation		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2014 Actual	FY 2015 Expd. Plan
Transfers		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Arizona Corporation Commission

Program 4 -1 Securities

Summary of Professional & Outside Services

The proposed base budget of \$ 50.0 (thousand) for Professional & Outside Services includes the use of Temporary Agency Services, for clerical assistance as needed, the use of court reporters for legal proceedings, payments for required employee physicals, payments for expert witnesses, the use of Westlaw research tool, and for providing investor education seminars.