

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 1000 General Fund

AFIS Code	Category of Receipt and Description	FY 2015	FY 2016	FY 2017
4314	FILING FEES	7,170.9	7,200.0	7,200.0
4339	OTHER FEES AND CHARGES FOR SERVICES	5,871.3	5,900.0	5,900.0
4369	OTHER INTER-AGENCY REVENUE	13,377.0	13,500.0	13,500.0
4372	PUBLICATIONS AND REPRODUCTIONS	50.4	50.0	50.0
4519	OTHER FINES OR FORFEITURES OR PENALTIES	891.0	875.0	875.0
4645	CREDIT CARD DISCOUNT FEES PAID	(214.3)	(215.0)	(215.0)
4647	CREDIT CARD PROCESSING FEES PAID	(0.9)	(1.0)	(1.0)
4699	MISCELLANEOUS RECEIPTS	18.6	0.0	0.0
Fund Total:		27,164.0	27,309.0	27,309.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 1000 General Fund

Justification:

The Commission receives GF revenues primarily through its Corporations and Securities divisions, as a result of corporate records filings and securities regulation activities.

4314 - Filing Fees (Corporations division)

In FY 2015 corporate filing fees decreased 1.5% from the fees collected in FY 2014. Given this, the Commission is holding the estimated revenues steady for the near future.

4339 - Other Fees and Charges for Services

In FY 2015 the Corporations division realized an increase of approximately 1.5% in the amount collected for late fees and charges for certificate of good standing. The Commission is holding its estimates level for the near future.

Revenues for this line also come through the Securities division. In FY 2015, securities filing revenues of \$960,704 increased by approximately 17% from FY 2014 activity. For the time being the Commission continues to hold this revenue line constant.

4369 - Interagency revenues

For the Corporations division, this line represents the amount of funds transferred to the AZ Commission on the Arts, approximately (\$1,441,640), comprised of 1/3 of the GF annual report filing fee. The Commission is holding its estimate level for the foreseeable future.

For the Securities division, this line represents the funds transferred pursuant to ARS 44-3324 and 44-2039b, from the revenues collected in the Securities Regulatory and Enforcement Fund 2264. (The amount transferred in FY 2015 was split between 4339 and 4369. Going forward the Commission will report the internal funds transfer in 4369, in order to more accurately report collected GF revenues in the 4339 line.)

Revenues transferred from Fund 2264 into the GF as a result of ARS 44-3324 and 44-2039b increased from \$19,235.2K in FY 2014 to \$19,523.7K in FY 2015, an increase of approximately 1.5%. The Commission continues to hold its revenue estimates level for the short term.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2000 Federal Grant Fund

AFIS Code Category of Receipt and Description

4211 FEDERAL GRANTS

	FY 2015	FY 2016	FY 2017
	1,751.1	1,700.0	1,700.0
Fund Total:	1,751.1	1,700.0	1,700.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2000 Federal Grant Fund

Justification: The Commission, through its Pipeline Safety Office in the Safety division, receives federal partial reimbursement of its prior year program expenditures. The amount of the annual reimbursement awarded to each state participant fluctuates upon the amount made available at the federal level, and the program rating compiled by the USDOT-Pipeline Safety Office. The Arizona Pipeline Safety Office was recently recognized for its consistently high ratings by the federal program.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2076 Utility Siting Fund

AFIS Code Category of Receipt and Description

	FY 2015	FY 2016	FY 2017
4339 OTHER FEES AND CHARGES FOR SERVICES	9.7	0.0	0.0
4512 RESTITUTION	56.0	(56.0)	0.0
Fund Total:	65.7	(56.0)	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2172 Utility Regulation Revolving Fund

AFIS Code Category of Receipt and Description

4633 INTRASTATE UTILITY REVENUE ASSESSMENT
 4821 PRIOR YEAR REIMBURSEMENT - REFUNDS

	FY 2015	FY 2016	FY 2017
	15,280.1	3,207.8	16,709.5
	5.0	0.0	0.0
Fund Total:	15,285.1	3,207.8	16,709.5

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2172 Utility Regulation Revolving Fund

Justification:

Annual assessments are billed in June of each year, and are based on a percentage of public utility companies' annual gross revenues. For FY 2016, the maximum the Commission was allowed to assess was .25% of intrastate revenues for companies with annual gross intrastate revenues in excess of \$500,000. Since the annual assessment is billed mid-June, the bulk of revenues are normally collected in the following year. This past June 2015 saw a larger than 'normal' amount collected as shown in the revenue line. The balance is reflected in FY 2016 revenues, however, we have projected the full amount for FY 2017 to be received in FY 2017. this approximate \$16.7 MM reflects the proposed decisions packages and includes the statutory 1.2 multiplying factor.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2175 Residential Utility Consumer Office Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2015	FY 2016	FY 2017
4369	OTHER INTER-AGENCY REVENUE	(1,161.0)	(779.7)	(1,155.0)
4633	INTRASTATE UTILITY REVENUE ASSESSMENT	1,104.2	0.0	1,155.0
Fund Total:		(56.8)	(779.7)	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2175 Residential Utility Consumer Office Revolving Fund

Justification: As part of its annual assessments on public service companies, the Commission also collects a portion for the RUCO. These funds are then remitted to them for their ongoing operations.

For FY 2016, the revenues projected is the residual balance collected in July 2015 for the FY 2016 appropriations. The Commission intends to transfer revenues almost immediately to RUCO, thus keeping a zero balance in this fund on our side.

RUCO generally should be reporting their revenues more accurately.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2264 Security Regulatory and Enforcement Fund

AFIS Code	Category of Receipt and Description	FY 2015	FY 2016	FY 2017
4339	OTHER FEES AND CHARGES FOR SERVICES	25,092.9	25,100.0	25,200.0
4369	OTHER INTER-AGENCY REVENUE	(19,557.5)	(20,201.3)	(20,004.0)
Fund Total:		5,535.5	4,898.7	5,196.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2264 Security Regulatory and Enforcement Fund

Justification: Pursuant to Laws 2010, 49th Leg., 4th Spec Session, Ch. 3, Sect. 14,, any remaining revenues in excess of the fund appropriation to the Commission are to be remitted to the GF, therefore the revenues are projected to match the known or requested appropriation.

In FY 2017, the Commission is requesting additional operating funds to accommodate salary parity for the Securities division securities investigator positions, as well as one time funding to help address the HVAC issue in the main Hearing Room and to cover the excess Risk Management premium not funded in the FY 2016 appropriation.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2333 Public Access Fund

AFIS Code	Category of Receipt and Description	FY 2015	FY 2016	FY 2017
4314	FILING FEES	2,930.1	2,900.0	2,900.0
4339	OTHER FEES AND CHARGES FOR SERVICES	3,893.9	3,900.0	3,900.0
4372	PUBLICATIONS AND REPRODUCTIONS	94.3	75.0	75.0
4645	CREDIT CARD DISCOUNT FEES PAID	(34.4)	(35.0)	(35.0)
4699	MISCELLANEOUS RECEIPTS	0.3	0.0	0.0
Fund Total:		6,884.2	6,840.0	6,840.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2333 Public Access Fund

Justification: The Corporations division collects a portion of revenues for corporate filing activities, including articles of incorporation, authority to transact business in Arizona, and others, for deposit into the Public Access Fund as prescribed by statutes. While there was a slight increase overall in funds collected into the PAF in the past, the Commission anticipates zero growth for FY 2016 forward.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2334 Moneys on Demand

AFIS Code	Category of Receipt and Description	FY 2015	FY 2016	FY 2017
4339	OTHER FEES AND CHARGES FOR SERVICES	982.0	750.0	750.0
4369	OTHER INTER-AGENCY REVENUE	(695.7)	(700.0)	(700.0)
Fund Total:		286.3	50.0	50.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2334 Moneys on Demand

Justification: Funds received from customers for their Moneys on Demand accounts are held pending corporate filing or processing activities. As such, these funds are not earned revenue until a filing activity occurs, when funds are transferred to the GF or PAF as the distribution for the applicable filing fee requires.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2404 Securities Investment Management Fund

AFIS Code Category of Receipt and Description

4314 FILING FEES

4339 OTHER FEES AND CHARGES FOR SERVICES

	FY 2015	FY 2016	FY 2017
	2.0	2.0	2.0
	2,880.2	2,900.0	3,000.0
Fund Total:	2,882.2	2,902.0	3,002.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2404 Securities Investment Management Fund

Justification: Revenues for this fund have increased nominally over the past few years; the Commission has continued this % increase for its revenue estimates.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2500 IGA and ISA Fund

AFIS Code Category of Receipt and Description

4699 MISCELLANEOUS RECEIPTS

	FY 2015	FY 2016	FY 2017
	1.9	0.0	0.0
Fund Total:	1.9	0.0	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2548 Arizona Competes Fund

AFIS Code	Category of Receipt and Description	FY 2015	FY 2016	FY 2017
4314	FILING FEES	2.2	0.0	0.0
4339	OTHER FEES AND CHARGES FOR SERVICES	2,027.0	2,030.0	2,030.0
4369	OTHER INTER-AGENCY REVENUE	(1,968.8)	(2,161.6)	(2,030.0)
Fund Total:		60.4	(131.6)	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 3043 Arizona Arts Trust Fund

AFIS Code Category of Receipt and Description

4901 OPERATING TRANSFERS IN

	FY 2015	FY 2016	FY 2017
	50.1	50.1	50.1
Fund Total:	50.1	50.1	50.1

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 3180 Court Ordered Trust Fund

AFIS Code Category of Receipt and Description

	FY 2015	FY 2016	FY 2017
4512 RESTITUTION	(25.1)	56.0	0.0
4631 TREASURERS INTEREST INCOME	5.0	5.0	5.0
Fund Total:	(20.1)	61.0	5.0