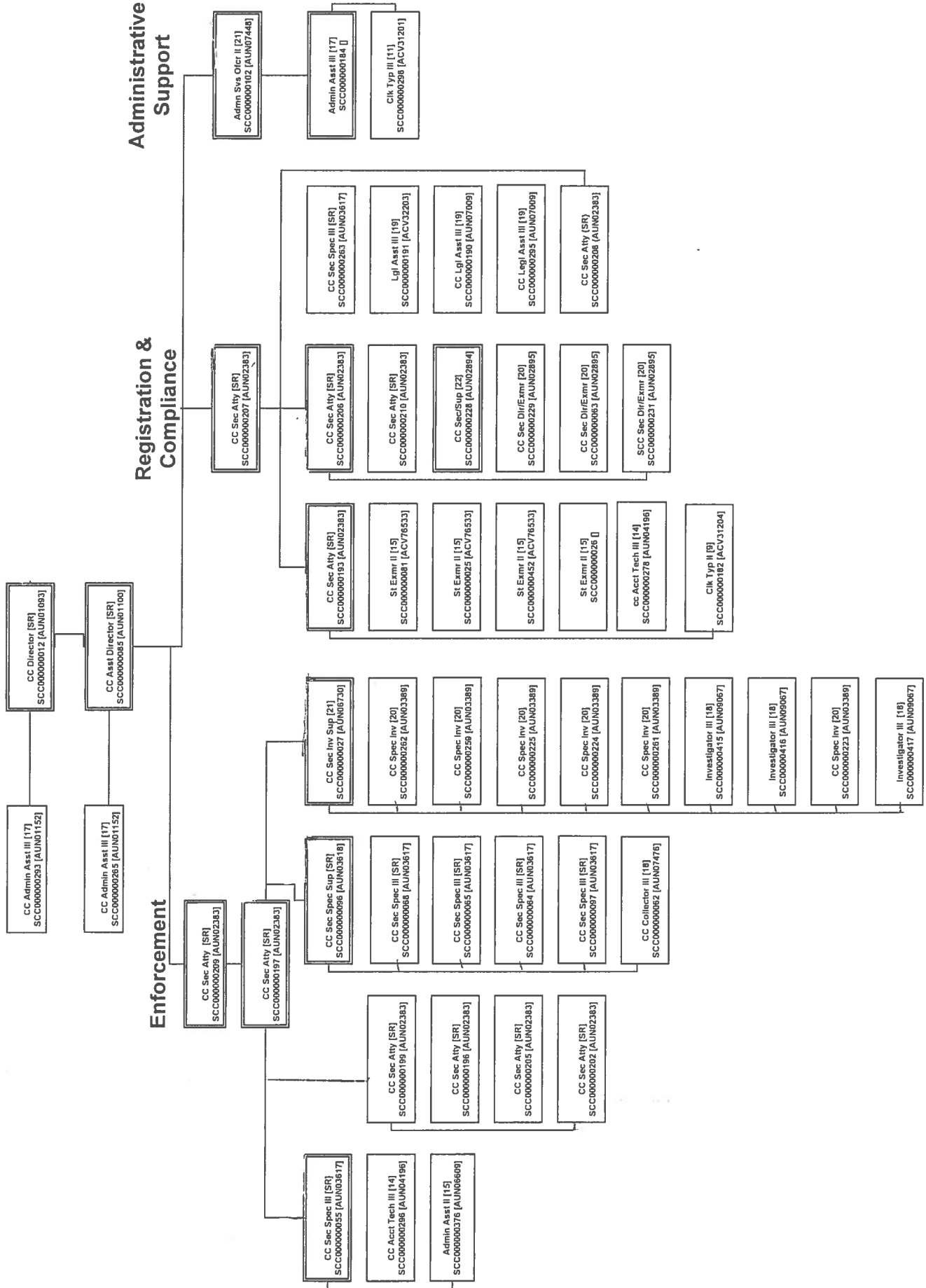


Arizona Corporation Commission - Securities Division



Program Summary of Expenditures and Budget Request

Agency: CCA Corporation Commission
 Program: 4 Securities

	FY 2015 Actual	FY 2016 Expd. Plan	FY 2017 Fund. Issue	FY 2017 Total
Program Summary				
4-1 Securities	4,401.2	4,603.0	282.0	4,885.0
4-2 SLI Securities Division Database Upgrade	20.0	0.0	0.0	0.0
Program Summary Total:	4,421.2	4,603.0	282.0	4,885.0
Expenditure Categories				
0000 FTE Positions	50.4	50.4	0.0	50.4
6000 Personal Services	2,647.7	2,940.0	180.0	3,120.0
6100 Employee Related Expenses	1,073.7	1,117.0	70.0	1,187.0
6200 Professional and Outside Services	35.5	45.0	0.0	45.0
6500 Travel In-State	22.0	20.0	0.0	20.0
6600 Travel Out of State	6.7	5.0	0.0	5.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	444.8	474.5	32.0	506.5
8000 Equipment	148.6	1.5	0.0	1.5
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	42.2	0.0	0.0	0.0
Expenditure Categories Total:	4,421.2	4,603.0	282.0	4,885.0

	FY 2015 Actual	FY 2016 Expd. Plan	FY 2017 Fund. Issue	FY 2017 Total
Fund Source				
Appropriated Funds				
2264-A Securities Regulatory & Enforcement (Appropriate)	3,706.1	3,890.4	282.0	4,172.4
2404-A Securities Investment Management Fund (Appropri	715.1	712.6	0.0	712.6
Fund Source Total:	4,421.2	4,603.0	282.0	4,885.0

Program Summary of Expenditures and Budget Request

Agency: CCA Corporation Commission
 Program: 4 Securities

	FY 2015 Actual	FY 2016 Expd. Plan	FY 2017 Fund. Issue	FY 2017 Total

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
 Program: 4-1 Securities

Expenditure Categories	FY 2015	FY 2016	FY 2017	FY 2017
	Actual	Expd. Plan	Fund. Issue	Total
0000 FTE	50.4	50.4	0.0	50.4
6000 Personal Services	2,647.7	2,940.0	180.0	3,120.0
6100 Employee Related Expenses	1,073.7	1,117.0	70.0	1,187.0
6200 Professional and Outside Services	35.5	45.0	0.0	45.0
6500 Travel In-State	22.0	20.0	0.0	20.0
6600 Travel Out of State	6.7	5.0	0.0	5.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	424.8	474.5	32.0	506.5
8000 Equipment	148.6	1.5	0.0	1.5
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	42.2	0.0	0.0	0.0
Expenditure Categories Total:	4,401.2	4,603.0	282.0	4,885.0

Expenditure Categories Total:

Fund Source

Appropriated Funds

2264-A Securities Regulatory & Enforcement (Appropriate) 3,686.1 3,890.4 282.0 4,172.4
 2404-A Securities Investment Management Fund (Appropri 715.1 712.6 0.0 712.6

Fund Source Total:

4,401.2 4,603.0 282.0 4,885.0
 4,401.2 4,603.0 282.0 4,885.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission

	FY 2015	FY 2016	FY 2017	FY 2017
	Actual	Expd. Plan	Fund. Issue	Total

Program: 4-1 Securities

	FY 2015	FY 2016	FY 2017	FY 2017
	Actual	Expd. Plan	Fund. Issue	Total
Fund: 2264-A Security Regulatory and Enforcement Fund				
Appropriated				
0000 FTE	43.4	43.4	0.0	43.4
6000 Personal Services	2,110.4	2,440.0	180.0	2,620.0
6100 Employee Related Expenses	900.1	907.4	70.0	977.4
6200 Professional and Outside Services	35.5	45.0	0.0	45.0
6500 Travel In-State	21.9	20.0	0.0	20.0
6600 Travel Out of State	5.6	5.0	0.0	5.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	421.8	471.5	32.0	503.5
8000 Equipment	148.6	1.5	0.0	1.5
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	42.2	0.0	0.0	0.0
Appropriated Total:	3,686.1	3,890.4	282.0	4,172.4
Fund Total:	3,686.1	3,890.4	282.0	4,172.4

	FY 2015	FY 2016	FY 2017	FY 2017
	Actual	Expd. Plan	Fund. Issue	Total
Fund: 2404-A Securities Investment Management Fund				
Appropriated				
0000 FTE	7.0	7.0	0.0	7.0
6000 Personal Services	537.3	500.0	0.0	500.0
6100 Employee Related Expenses	173.6	209.6	0.0	209.6
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.1	0.0	0.0	0.0
6600 Travel Out of State	1.1	0.0	0.0	0.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	3.0	3.0	0.0	3.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency:	CCA Corporation Commission					
Program:	4-1	Securities				
Fund:	2404-A	Securities Investment Management Fund				
Appropriated			FY 2015	FY 2016	FY 2017	FY 2017
			Actual	Expd. Plan	Fund. Issue	Total
8600	Debt Service		0.0	0.0	0.0	0.0
9000	Cost Allocation		0.0	0.0	0.0	0.0
9100	Transfers		0.0	0.0	0.0	0.0
Appropriated Total:			715.1	712.6	0.0	712.6
Fund Total:			715.1	712.6	0.0	712.6
Program Total For Selected Funds:			4,401.2	4,603.0	282.0	4,885.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
FTE Positions		
FTE	50.4	50.4
Expenditure Category Total	50.4	50.4
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	43.4	43.4
2404-A Securities Investment Management Fund (Appropriated)	7.0	7.0
Fund Source Total	50.4	50.4

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Personal Services		
Personal Services	2,647.7	2,940.0
Boards and Commissions	0.0	0.0
Uniform Allowance	0.0	0.0
Expenditure Category Total	2,647.7	2,940.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	2,110.4	2,440.0
2404-A Securities Investment Management Fund (Appropriated)	537.3	500.0
Fund Source Total	2,647.7	2,940.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Employee Related Expenses		
Employee Related Expenses	1,073.7	1,117.0
Expenditure Category Total	1,073.7	1,117.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	900.1	907.4
2404-A Securities Investment Management Fund (Appropriated)	173.6	209.6
Fund Source Total	1,073.7	1,117.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Professional & Outside Services		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	33.7	40.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.8	2.0
Hospital Services	0.0	0.0

Program Expenditure Schedule

Agency: **CCA Corporation Commission**
 Program: **4-1 Securities**

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Professional & Outside Services		
Other Medical Services	0.7	1.0
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.3	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.0	2.0
Expenditure Category Total	35.5	45.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	35.5	45.0
Fund Source Total	35.5	45.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Travel In-State		
Travel In-State	22.0	20.0
Expenditure Category Total	22.0	20.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	21.9	20.0
2404-A Securities Investment Management Fund (Appropriated)	0.1	0.0
Fund Source Total	22.0	20.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Travel Out-of-State		
Travel Out of State	6.7	5.0
Expenditure Category Total	6.7	5.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	5.6	5.0
2404-A Securities Investment Management Fund (Appropriated)	1.1	0.0
Fund Source Total	6.7	5.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Food (Library for Universities)		
Food (Library for Universities)	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Aid to Organizations & Individuals		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Other Operating Expenditures		
Insurance & Related Charges	3.6	37.0
Information Technology Services	129.3	130.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	212.7	212.5
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	7.6	8.8
Software Support and Maintenance	1.3	1.5
Operating Supplies	20.6	25.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	11.9	15.0
Advertising	1.2	1.5
Printing & Photography	0.4	0.5
Postage & Delivery	5.8	7.5
Miscellaneous Operating	30.4	35.2
Depreciation Expense	0.0	0.0
Expenditure Category Total	424.8	474.5

Fund Source	FY 2015 Actual	FY 2016 Expd. Plan
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	421.8	471.5
2404-A Securities Investment Management Fund (Appropriated)	3.0	3.0
Fund Source Total	424.8	474.5

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Equipment		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	10.6	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	124.5	1.5

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Equipment		
EDP Equipment - Mainframe - Non-Capital	11.2	0.0
EDP Equipment - Midrange - Non-Capital	0.0	0.0
EDP Equipment - PCs/LAN - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	2.2	0.0
Non-Capitalized Software	0.1	0.0
Expenditure Category Total	148.6	1.5

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	148.6	1.5
Fund Source Total	148.6	1.5

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Capital Outlay		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Debt Services		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Cost Allocation		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Transfers		
Transfers	42.2	0.0
Expenditure Category Total	42.2	0.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	42.2	0.0
Fund Source Total	42.2	0.0

Classification Listing				
Class Code	Title	Grade	Total FTE	Personal Services as of 07/01/15

Program Expenditure Schedule

Agency:	CCA	Corporation Commission
Program:	4-1	Securities

		-3.0	0.0
AUN09	ADMV SVCS OFFCR 2	21	45.0
AUN06	CC ACCOUNTING TECHNICIAN III	14	55.6
AUN03	CC ADMV ASST 2	15	30.0
AUN06	CC ADMV ASST III	17	79.4
AUN01	CC ASSISTANT DIVISION DIRECTOR	01	111.2
AUN04	CC COLLECTOR III	18	34.0
AUN01	CC DIVISION DIRECTOR	01	121.5
AUN03	CC LEGAL ASSISTANT III	19	139.5
AUN02	CC SEC DEALER/INVMT ADVR EXMR	20	140.6
AUN06	CC SECURITIES INVSGN SPVR	21	58.0
AUN03	CC SECURITIES SPECIALIST III	03	368.3
AUN03	CC SECURITIES SPECIALIST SPV	04	75.0
AUN02	CC SECURITY ATTORNEY	01	1,049.1
AUN02	CC SECURITY/INVMT ADVISOR SPV	22	55.0
AUN03	CC SPECIAL INVESTIGATOR	20	282.2
ACV31	CLERK TYPIST II	09	25.6
ACV31	CLERK TYPIST III	11	28.0
AUN09	INVGTR 3	18	141.1
ACV76	STATE EXAMINER II	15	94.2
AUN02	STATE GOVERNMENT INTERN	01	6.7

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
State Retirement System	43.4	2,440.0	2264-A
State Retirement System	7.0	500.0	2404-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500
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Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
1.0	121.5	0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
 Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Categories	FY 2015	FY 2016	FY 2017	FY 2017
	Actual	Expd. Plan	Fund. Issue	Total
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	20.0	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

20.0 0.0 0.0 0.0

Fund Source

Appropriated Funds

2264-A Securities Regulatory & Enforcement (Appropriate)

20.0 0.0 0.0 0.0

20.0 0.0 0.0 0.0

Fund Source Total:

20.0 0.0 0.0 0.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
 Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Categories	FY 2015	FY 2016	FY 2017	FY 2017
	Actual	Expd. Plan	Fund. Issue	Total

Program Budget Unit Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Fund:	2264-A	Security Regulatory and Enforcement Fund	FY 2015		FY 2016		FY 2017	
			Actual	Expd. Plan	Fund. Issue	Total		
Appropriated								
6000		Personal Services	0.0	0.0	0.0	0.0	0.0	0.0
6100		Employee Related Expenses	0.0	0.0	0.0	0.0	0.0	0.0
6200		Professional and Outside Services	0.0	0.0	0.0	0.0	0.0	0.0
6500		Travel In-State	0.0	0.0	0.0	0.0	0.0	0.0
6600		Travel Out of State	0.0	0.0	0.0	0.0	0.0	0.0
6700		Food (Library for Universities)	0.0	0.0	0.0	0.0	0.0	0.0
6800		Aid to Organizations and Individuals	0.0	0.0	0.0	0.0	0.0	0.0
7000		Other Operating Expenses	20.0	0.0	0.0	0.0	0.0	0.0
8000		Equipment	0.0	0.0	0.0	0.0	0.0	0.0
8100		Capital Outlay	0.0	0.0	0.0	0.0	0.0	0.0
8600		Debt Service	0.0	0.0	0.0	0.0	0.0	0.0
9000		Cost Allocation	0.0	0.0	0.0	0.0	0.0	0.0
9100		Transfers	0.0	0.0	0.0	0.0	0.0	0.0
Appropriated Total:			20.0	0.0	0.0	0.0	0.0	0.0
Fund Total:			20.0	0.0	0.0	0.0	0.0	0.0
Program Total For Selected Funds:			20.0	0.0	0.0	0.0	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
FTE Positions		
FTE	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Personal Services		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
Uniform Allowance	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Employee Related Expenses		
Employee Related Expenses	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Professional & Outside Services		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	0.0	0.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.0	0.0
Hospital Services	0.0	0.0
Other Medical Services	0.0	0.0
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.0	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Travel In-State		
Travel In-State	0.0	0.0

Program Expenditure Schedule

Agency:	CCA	Corporation Commission
Program:	4-2	SLI Securities Division Database Upgrade

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Travel In-State		
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Travel Out-of-State		
Travel Out of State	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Food (Library for Universities)		
Food (Library for Universities)	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Aid to Organizations & Individuals		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Other Operating Expenditures		
Insurance & Related Charges	0.0	0.0
Information Technology Services	20.0	0.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	0.0	0.0
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	0.0	0.0
Software Support and Maintenance	0.0	0.0
Operating Supplies	0.0	0.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	0.0	0.0
Advertising	0.0	0.0
Printing & Photography	0.0	0.0
Postage & Delivery	0.0	0.0
Miscellaneous Operating	0.0	0.0
Depreciation Expense	0.0	0.0

Program Expenditure Schedule

Agency:	CCA Corporation Commission
Program:	4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Other Operating Expenditures		
Expenditure Category Total	20.0	0.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	20.0	0.0
Fund Source Total	20.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Equipment		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	0.0	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
EDP Equipment - Midrange - Non-Capital	0.0	0.0
EDP Equipment - PCs/LAN - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	0.0	0.0
Non-Capitalized Software	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Capital Outlay		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Debt Services		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Cost Allocation		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2015 Actual	FY 2016 Expd. Plan
Transfers		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Arizona Corporation Commission

Program 4 -1 Securities

Summary of Professional & Outside Services

The proposed base budget of \$ 45.0 (thousand) for Professional & Outside Services includes the use of Temporary Agency Services, for clerical assistance as needed, the use of court reporters for legal proceedings, payments for required employee physicals, payments for expert witnesses, the use of Westlaw research tool, and for providing investor education seminars.