

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 1000 General Fund

AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4314	FILING FEES	7,489.5	7,500.0	7,500.0
4339	OTHER FEES AND CHARGES FOR SERVICES	1,222.9	1,225.0	1,225.0
4369	OTHER INTER-AGENCY REVENUE	13,700.8	13,500.0	13,500.0
4372	PUBLICATIONS AND REPRODUCTIONS	35.2	0.0	0.0
4519	OTHER FINES OR FORFEITURES OR PENALTIES	773.2	750.0	750.0
4641	NSF CHECKS	0.4	0.0	0.0
4642	UNFAVORABLE DEPOSIT ERRORS	(18.7)	0.0	0.0
4645	CREDIT CARD DISCOUNT FEES PAID	(144.1)	(150.0)	(150.0)
4699	MISCELLANEOUS RECEIPTS	5.9	0.0	0.0
4799	MISCELLANEOUS RECEIPTS	(31.3)	0.0	0.0
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	1.9	0.0	0.0
Fund Total:		23,035.7	22,825.0	22,825.0

Revenue Schedule

Agency:	CCA	Corporation Commission
Fund:	1000	General Fund

Justification:

The Commission receives GF revenues primarily through its Corporations and Securities divisions, as a result of corporate records filings and securities regulation activities.

4314 - Filing Fees (Corporations division)

In FY 2016 corporate filing fees increased 4.4% from the fees collected in FY 2015. Given this change in trends, the Commission is holding the estimated revenues steady at the higher level for the near future.

4339 - Other Fees and Charges for Services

In FY 2016 the Corporations division realized an increase of approximately 1.5% in the amount collected for late fees and charges for certificate of good standing. The Commission is holding its estimates level for the near future.

Revenues for this line also come through the Securities division. In FY 2016, securities filing revenues increased by approximately 1% from FY 2015 activity. For the time being the Commission continues to hold this revenue line constant.

4369 - Interagency revenues

For the Corporations division, this line represents the amount of funds transferred to the AZ Commission on the Arts, approximately (\$1,416.7K), comprised of 1/3 of the GF annual report filing fee. The Commission is holding its estimate level for the foreseeable future.

For the Securities division, this line represents the funds transferred pursuant to ARS 44-3324 and 44-2039b, from the revenues collected in the Securities Regulatory and Enforcement Fund 2264.

Revenues transferred from Fund 2264 into the GF as a result of ARS 44-3324 and 44-2039b increased from \$19,523.7K in FY 2015 to \$21,087.2K in FY 2016, an increase of approximately 8%. The Commission increased its projections modestly to a 5% increase for the short term. Of the \$21,087.2K SRF to GF transfer, \$5,969.7K will be shown in FY 2017 due to the timing of the transfer in AFIS.
(\$21,087.2 - \$5,969.7 - \$1,416.7 = \$13,700.8K shown in the schedule.)

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2000 Federal Grant Fund

AFIS Code Category of Receipt and Description

4211 FEDERAL GRANTS

FY 2016	FY 2017	FY 2018
1,374.4	1,046.9	1,000.0
1,374.4	1,046.9	1,000.0

Fund Total:

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2000 Federal Grant Fund

Justification:

The Commission, through its Pipeline Safety Office in the Safety division, receives federal partial reimbursement of its prior year program expenditures. The amount of the annual reimbursement awarded to each state participant fluctuates upon the amount made available at the federal level, and the program rating compiled by the USDOT-Pipeline Safety Office. The Arizona Pipeline Safety Office was recently recognized for its consistently high ratings by the federal program.

Revenue Schedule

Agency:		CCA Corporation Commission	
Fund:		2076	Utility Siting Fund
AFIS Code		Category of Receipt and Description	
4339		OTHER FEES AND CHARGES FOR SERVICES	
Fund Total:		FY 2016	FY 2017
		10.9	1.0
		10.9	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2172 Utility Regulation Revolving Fund

AFIS Code Category of Receipt and Description

4633 INTRASTATE UTILITY REVENUE ASSESSMENT

FY 2016	FY 2017	FY 2018
6,397.5	13,000.5	14,670.8
Fund Total: 6,397.5	13,000.5	14,670.8

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2172 Utility Regulation Revolving Fund

Justification:

Annual assessments are billed in June of each year, and are based on a percentage of public utility companies' annual gross revenues. For FY 2017, the maximum the Commission was allowed to assess was .25% of intrastate revenues for companies with annual gross intrastate revenues in excess of \$500,000. Since the annual assessment is billed mid-June, the bulk of revenues are normally collected in the following year. The balance is reflected in FY 2017 revenues, however, we have projected the full amount for FY 2018 to be received in FY 2018.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2175 Residential Utility Consumer Office Revolving Fund

AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4369	OTHER INTER-AGENCY REVENUE	(1,158.4)	(1,238.1)	(1,200.0)
4633	INTRASTATE UTILITY REVENUE ASSESSMENT	379.0	1,237.8	1,200.0
Fund Total:		(779.4)	(0.3)	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2175 Residential Utility Consumer Office Revolving Fund

Justification:

As part of its annual assessments on public service companies, the Commission also collects a portion for the RUCO. These funds are then remitted to them for their ongoing operations.

For FY 2017, the revenues projected is the residual balance collected in July 2016 for the FY 2017 appropriations. The Commission intends to transfer revenues almost immediately to RUCO, thus keeping a zero balance in this fund on our side.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2264 Security Regulatory and Enforcement Fund

AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4339	OTHER FEES AND CHARGES FOR SERVICES	15,095.6	15,000.0	15,000.0
4369	OTHER INTER-AGENCY REVENUE	(4,839.9)	(16,060.1)	(9,840.4)
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	36.1	0.0	0.0
Fund Total:		10,291.8	(1,060.1)	5,159.6

Revenue Schedule

Agency:	CCA	Corporation Commission
Fund:	2264	Security Regulatory and Enforcement Fund
Justification:	Pursuant to Laws 2010, 49th Leg., 4th Spec Session, Ch. 3, Sect. 14,, any remaining revenues in excess of the fund appropriation to the Commission are to be remitted to the GF, therefore the revenues are projected to match the known or requested appropriation. The FY 2017 revenues are further offset for the fiscal year end transfer of excess funds above the appropriation for FYE 2016. The transfer occurred in July 2016 following the reconciliation and approval of the GAO. In FY 2018, the Commission is requesting additional operating funds to accommodate salary parity for the Securites division securities investigator positions. The net revenues reflect the proposed FY 2018 appropriation.	

Revenue Schedule

Agency:		CCA Corporation Commission	
Fund:		2321	Utility Surety Fund
AFIS Code	Category of Receipt and Description		
	OTHER FINES OR FORFEITURES OR PENALTIES		
4519		FY 2016	FY 2017
		0.1	0.0
		FY 2018	
		0.0	0.0
Fund Total:		0.1	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2333 Public Access Fund

AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4314	FILING FEES	2,918.3	2,900.0	2,900.0
4339	OTHER FEES AND CHARGES FOR SERVICES	4,430.0	4,450.0	4,450.0
4372	PUBLICATIONS AND REPRODUCTIONS	99.6	100.0	100.0
4519	OTHER FINES OR FORFEITURES OR PENALTIES	0.0	0.0	0.0
4645	CREDIT CARD DISCOUNT FEES PAID	(61.7)	(60.0)	(60.0)
Fund Total:		7,386.2	7,390.0	7,390.0

Revenue Schedule

Agency:	CCA	Corporation Commission
Fund:	2333	Public Access Fund

Justification: The Corporations division collects a portion of revenues for corporate filing activities, including articles of incorporation, authority to transact business in Arizona, and others, for deposit into the Public Access Fund as prescribed by statutes. While there was a slight increase overall in funds collected into the PAF in the past, the Commission anticipates zero growth for FY 2017 forward.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2334 Moneys on Demand

AFIS Code	Category of Receipt and Description
4339	OTHER FEES AND CHARGES FOR SERVICES
4369	OTHER INTER-AGENCY REVENUE

	FY 2016	FY 2017	FY 2018
	1,727.5	1,500.0	1,500.0
	(2,042.0)	(1,500.0)	(1,500.0)
Fund Total:	(314.5)	0.0	0.0

Revenue Schedule

Agency:	CCA	Corporation Commission
Fund:	2334	Moneys on Demand
Justification:	Funds received from customers for their Moneys on Demand accounts are held pending corporate filing or processing activities. As such, these funds are not earned revenue until a filing activity occurs, when funds are transferred to the GF or PAF as the distribution for the applicable filing fee requires. As funds deposited fluctuate through the FY, we are holding the revenues steady at the approximate level of \$1.5MM through the year, with offsetting transfers and refunds at the same amount. (Transfers and refunds are posted to the same COBJ 4339 as revenues, and are illustrated for budget purposes using COBJ 4369.)	

Revenue Schedule

Agency: CCA Corporation Commission					
Fund:	2404	Securities Investment Management Fund			
AFIS Code	Category of Receipt and Description		FY 2016	FY 2017	FY 2018
4339	OTHER FEES AND CHARGES FOR SERVICES		3,014.7	3,000.0	3,065.0
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS		4.4	0.0	0.0
Fund Total:			3,019.1	3,000.0	3,065.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2404 Securities Investment Management Fund

Justification: Revenues for this fund have increased nominally over the past few years; the Commission has continued this % increase for its revenue estimates.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2500 IGA and ISA Fund

AFIS Code Category of Receipt and Description

4699 MISCELLANEOUS RECEIPTS

	FY 2016	FY 2017	FY 2018
	1.8	0.0	0.0
Fund Total:	1.8	0.0	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2500 IGA and ISA Fund

Justification:

This fund includes deposits received for employee recognition activities, which are recorded as part of the Administration expenditures. As there isn't a historical perspective, no estimate is provided for this fund.

Revenue Schedule

Agency:		CCA	Corporation Commission
Fund:		2548	Arizona Competes Fund
AFIS Code	Category of Receipt and Description		
4339	OTHER FEES AND CHARGES FOR SERVICES		
4369	OTHER INTER-AGENCY REVENUE		
Fund Total:		FY 2016	FY 2017
		2,094.8	157.9
		(2,226.5)	(157.9)
		(131.7)	0.0
			FY 2018
			0.0
			0.0
			0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2548 Arizona Competes Fund

Justification:

This fund received revenues through the Securities Division that are now to be sent to the Office of Economic Opportunity. Projections for FY 2017 are the actual amounts received through August 6th, 2016. After that date, funds will be collected under Fund 3888 Office of Economic Opportunity Operating Fund.

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 2600 Payment Card Clearing Fund

AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4699	MISCELLANEOUS RECEIPTS	10,863.6	11,000.0	11,000.0
4799	MISCELLANEOUS RECEIPTS	(10,241.6)	(12,038.7)	(11,000.0)
Fund Total:		622.0	(1,038.7)	0.0

Revenue Schedule

Agency:	CCA	Corporation Commission
Fund:	2600	Payment Card Clearing Fund

Justification: Used by the Corporations division for all online and counter credit card payment activities, this fund receives the credit card revenues before the agency can redistribute them to the proper revenue stream.

The Commission is reporting the totals of \$ received as 4699 Misc Receipts, and the total of transfers out to other revenue accounts under 4799 Misc Receipts. As this should be a Zero Balance Account, we are showing the prospective balance at FYE to be zero, although due to timing differences, there likely will always be an ending balance.

Revenue Schedule

Agency: CCA Corporation Commission				
Fund: 3043 Arizona Arts Trust Fund				
AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4901	OPERATING TRANSFERS IN	50.1	49.9	49.9
Fund Total:		50.1	49.9	49.9

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 3180 Court Ordered Trust Fund

AFIS Code	Category of Receipt and Description	FY 2016	FY 2017	FY 2018
4369	OTHER INTER-AGENCY REVENUE	(311.4)	0.0	0.0
4512	RESTITUTION	462.6	0.0	0.0
4631	TREASURERS INTEREST INCOME	7.4	0.0	0.0
4821	PRIOR YEAR REIMBURSEMENT - REFUNDS	98.1	0.0	0.0
Fund Total:		256.7	0.0	0.0

Revenue Schedule

Agency: CCA Corporation Commission

Fund: 3180 Court Ordered Trust Fund

Justification: Court Ordered Restitutions stem from collections in Securities related cases. No projection is given as there isn't a guarantee of funds being received from respondents. Any distributions of funds are coded to 4369 for illustrative purposes only.