

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2000 Federal Grant Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	3,278.8	3,653.4	3,861.3
Revenue (From Revenue Schedule)	1,374.4	1,046.9	1,000.0
Total Available	4,653.2	4,700.3	4,861.3
Total Appropriated Disbursements	11.1	14.0	0.0
Total Non-Appropriated Disbursements	988.7	825.0	825.0
Balance Forward to Next Year	3,653.4	3,861.3	4,036.3

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	11.1	14.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	11.1	14.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	501.5	315.0	315.0
Employee Related Expenses	142.0	160.0	160.0
Prof. And Outside Services	1.0	5.0	5.0
Travel - In State	123.7	150.0	150.0
Travel - Out of State	44.2	45.0	45.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	157.4	150.0	150.0
Equipment	13.1	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	5.8	0.0	0.0
Expenditure Categories Total:	988.7	825.0	825.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	988.7	825.0	825.0
Non-Appropriated FTE:	1.0	1.0	1.0

Fund Justification

Justification: The Commission receives funding through the US Department of Transportation on a reimbursement basis, covering a portion of the prior year's qualifying program expenditures. Points are awarded for training and participation in periodic conferences, as well as completion of specific activities targeted by the federal program. The greater the points accumulated, the likelihood of greater reimbursement, subject to available funding.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	USDOT, Office of Pipeline and Hazardous Materials Safety Administration.
Use:	Funds are used for the inspection of interstate pipelines transporting gas and hazardous liquids, and to conduct a pipeline safety program.
OSPB:	Revenues come from the U.S. Department of Transportation, and are used to reimburse costs associated with the inspection of interstate pipelines transporting gas and hazardous liquids, and to conduct a pipeline safety program.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2076 Utility Siting Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	65.6	65.6	66.6
Revenue (From Revenue Schedule)	10.9	1.0	0.0
Total Available	76.5	66.6	66.6
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	10.9	0.0	0.0
Balance Forward to Next Year	65.6	66.6	66.6

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	10.4	0.0	0.0
Travel - In State	0.5	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	10.9	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	10.9	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: The Commission receives funds from applicants seeking to install or expand their utility lines. Each case requires an advance deposit to offset travel and meeting expenses incurred by the Line Siting Committee members. Following the conclusion of a case, the remaining funds are returned to the applicant.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Fees paid for applications to the Line Siting Committee for proposed and expanded power plants and transmission lines.
Use:	For costs incurred by the Line Siting Committee in connection with the activities of the Committee.
OSPB:	Funds come from fees paid for applications to the Line Siting Committee for proposed and expanded power plants and transmission lines. Funds are used for costs incurred by the Line Siting Committee in connection with the activities of the Committee.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2172 Utility Regulation Revolving Fund

Cash Flow Summary	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	14,256.7	4,195.2	2,247.3
Revenue (From Revenue Schedule)	6,397.5	13,000.5	14,670.8
Total Available	20,654.2	17,195.7	16,918.1
Total Appropriated Disbursements	16,459.0	14,948.4	14,098.4
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	4,195.2	2,247.3	2,819.7

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	8,910.3	8,831.1	8,831.1
Employee Related Expenses	3,214.0	3,260.0	3,260.0
Prof. And Outside Services	232.4	436.5	436.5
Travel - In State	81.0	85.5	85.5
Travel - Out of State	87.5	92.5	92.5
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	903.5	1,152.8	1,152.8
Equipment	127.0	215.0	215.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	175.0	25.0	25.0
Expenditure Categories Total:	13,730.7	14,098.4	14,098.4
Non-Lapsing Authority from Prior Years	564.0	800.0	0.0
Administrative Adjustments	164.3	50.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	2,000.0	0.0	0.0
Appropriated Expenditure Total:	16,459.0	14,948.4	14,098.4
Appropriated FTE:	153.5	151.5	151.5

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: Annual assessments against public utilities regulated by the Commission, as defined in ARS 40-401.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Annual assessments against public utilities regulated by the Commission, as defined in A.R.S. 40-401.
Use:	For all expenses incurred by the Utilities Division, including attorneys and legal staff, auditors, economists, and other staff employed by the Division, and a portion of the expenses incurred by the Administration, Hearings and IT Divisions in support of the Utilities Division efforts.
OSPB:	Revenues consist of annual assessments against public utilities regulated by the Commission. Funds are used to conduct research and analysis and provide recommendations to the elected commissioners on all matters relating to the regulation of public service corporations.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2174 Pipeline Safety Revolving Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	144.2	144.2	144.2
Total Available	144.2	144.2	144.2
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	144.2	144.2	144.2

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Justification

Justification:

Fund Description

Source:	Pursuant to FY 2004 Public Finance ORB, revenues that were previously deposited into the PSRF are now being deposited into the General Fund. Those revenues were from civil penalties and fines assessed with violating the pipeline safety rules concerning transporting gas and hazardous liquids.
Use:	For expenses associated with gas and hazardous liquids pipeline facilities safety, including public education, training, purchasing equipment, and inspections.
OSPB:	Revenues include monies collected from civil penalties assessed to enforce rules and regulations relating to pipeline safety. Funds are used for pipeline inspections, public education, training, and purchasing equipment.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2175 Residential Utility Consumer Office Revolving Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	779.7	0.3	0.0
Revenue (From Revenue Schedule)	(779.4)	(0.3)	0.0
Total Available	0.3	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.3	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Fund Description

Source: Funds collected are received from the annual Utility companies' assessments as directed in A.R.S. 40-401.01

Use: All funds collected are transferred to RUCO as revenue transfers.

OSPB: This fund consists of annual residential consumer assessments against each qualifying public service corporation. The fund is used to pay for the operation of the Residential Utility Consumer Office.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2264 Security Regulatory and Enforcement Fund

Cash Flow Summary	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	2,018.6	6,785.3	815.6
Revenue (From Revenue Schedule)	10,291.8	(1,060.1)	5,159.6
Total Available	12,310.4	5,725.2	5,975.2
Total Appropriated Disbursements	5,525.1	4,909.6	5,159.6
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	6,785.3	815.6	815.6

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	2,626.7	3,343.5	3,543.5
Employee Related Expenses	1,101.6	1,056.1	1,106.1
Prof. And Outside Services	124.1	50.0	50.0
Travel - In State	19.8	35.0	35.0
Travel - Out of State	13.5	15.0	15.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	1,485.2	410.0	410.0
Equipment	26.7	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	118.5	0.0	0.0
Expenditure Categories Total:	5,516.1	4,909.6	5,159.6
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	9.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	5,525.1	4,909.6	5,159.6
Appropriated FTE:	46.9	46.9	46.9

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Registration fees for securities dealers and salesmen, and from registration fees for securities exchanges established in Arizona.
Use:	For education, regulatory, investigative and enforcement operations in the Securities Division. Administrative costs of the Commission are also allowed from this fund.
OSP:	Revenues include part of a registration fee for each dealer and salesman, part of the fee for a salesman transferring registration from one registered dealer to another, and an exchange registration fee for each unit of a security exchanged. The Commission uses these monies for education, regulatory, investigative and enforcement operations in the securities division.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2321 Utility Surety Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	0.3	0.4	0.4
Revenue (From Revenue Schedule)	0.1	0.0	0.0
Total Available	0.4	0.4	0.4
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.4	0.4	0.4

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Funds are received from public utilities ordered to deposit funds pursuant to Commission orders as penalties for violations. A.R.S.40-321.
Use:	Monies in the utility surety fund shall be administered by the commission for the benefit of the customers of the public service corporation who have incurred a loss of services or commodities or for deposit support pursuant to A.R.S.40-321 C.
OSPB:	Revenues are from the collection of funds from utilities and are used to pay consumers who have filed claims against the utilities.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2333 Public Access Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	1,140.7	1,559.5	947.8
Revenue (From Revenue Schedule)	7,386.2	7,390.0	7,390.0
Total Available	8,526.9	8,949.5	8,337.8
Total Appropriated Disbursements	6,064.2	6,637.3	6,558.8
Total Non-Appropriated Disbursements	903.2	1,364.4	747.8
Balance Forward to Next Year	1,559.5	947.8	1,031.2

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	3,138.2	3,814.5	3,814.5
Employee Related Expenses	1,218.8	1,473.2	1,473.2
Prof. And Outside Services	418.9	127.0	127.0
Travel - In State	3.6	7.5	7.5
Travel - Out of State	2.5	17.5	17.5
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	988.5	1,089.1	1,089.1
Equipment	45.9	30.0	30.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	234.6	0.0	0.0
Expenditure Categories Total:	6,051.0	6,558.8	6,558.8
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	13.2	78.5	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	6,064.2	6,637.3	6,558.8
Appropriated FTE:	80.5	82.5	82.5

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	903.2	1,364.4	747.8
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	903.2	1,364.4	747.8
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Fees for expedited service for the filing of articles of incorporation and other documents.
Use:	For an improved data processing system that allows direct, online access by any person to all public records concerning corporations and associations who have filed various reports with the Commission to transact business within the State. The Fund is also used for some administrative expenses of the Commission.
OSPB:	Revenues consist of fees charged for expedited services, special computer printouts, reports, and tapes. The Commission also charges for remote access to the Commission's data processing system. Funds are used for improvements to the Commission's data processing system.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2334 Moneys on Demand

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	877.5	563.0	563.0
Revenue (From Revenue Schedule)	(314.5)	0.0	0.0
Total Available	563.0	563.0	563.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	563.0	563.0	563.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Certain customers of the Corporations Division may routinely deposit funds into Monies on Demand accounts with the division in order to allow for fax filings, multiple business filings, etc., without delaying their filings for lack of payment in advance, or having multiple accounts' fees paid with separate checks.
Use:	As the customer completes their filings, funds for their fees are moved from the Monies On Demand account into the appropriate revenue account in Public Access Fund or General Fund.
OSPB:	Certain customers of the Corporations Division may routinely deposit funds into Monies on Demand accounts with the division in order to allow for fax filings, multiple business filings, etc., without delaying their filings for lack of payment in advance, or having multiple accounts' fees paid with separate checks. As the customer completes their filings, funds for their fees are moved from the Monies On Demand account into the appropriate revenue account in Public Access Fund or General Fund.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2404 Securities Investment Management Fund

Cash Flow Summary	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	1,530.3	1,470.4	1,411.5
Revenue (From Revenue Schedule)	2,948.5	3,000.0	3,065.0
Total Available	4,478.8	4,470.4	4,476.5
Total Appropriated Disbursements	711.4	708.9	708.9
Total Non-Appropriated Disbursements	2,297.0	2,350.0	2,400.0
Balance Forward to Next Year	1,470.4	1,411.5	1,367.6

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	498.1	500.0	500.0
Employee Related Expenses	209.5	208.9	208.9
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	3.8	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	711.4	708.9	708.9
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	711.4	708.9	708.9
Appropriated FTE:	13.0	13.0	13.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	2,297.0	2,350.0	2,400.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	2,297.0	2,350.0	2,400.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: The Securities Investment Management Fund has a statutory cap at calendar year end of just \$100,000. The projected statutory balance cap transfers therefore reflect the estimated balance at each calendar year end, based on a minimal growth in revenues for the 6 month period July through December for both FY 2017 and FY 2018, added to the fund balances at FYE 2016 and FYE 2017 estimated, less the cap of just \$100,000.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Description

Source:	Fees from the licensing of investment advisors and investment advisor representatives, and from costs recovered from enforcement actions associated with the licensing.
Use:	For education, regulatory, investigative and enforcement operations in the Securities Division.
OSPB:	Revenues consist of fees and costs collected pursuant to enforcement of investment management regulations. The Commission uses these funds for education, regulatory, investigative, and enforcement operations in the securities division.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2500 IGA and ISA Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	5.7	2.9	2.9
Total Available	5.7	2.9	2.9
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	2.8	0.0	0.0
Balance Forward to Next Year	2.9	2.9	2.9

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	2.8	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	2.8	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	2.8	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund Justification

Justification:

Fund Description

Source:	The ISA and IGA Fund receives reimbursements from other governmental entities, such as the Arizona Peace Officers Standards and Training Board, and the University of Arizona Work Study Program.
Use:	Funds are received to offset current or prior year expenditures.
OSPB:	This fund was established for state agencies as a clearing account to properly account for, control, and report receipts and disbursements associated with intergovernmental and interagency service agreements, which are not reported in other funds.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2548 Arizona Competes Fund

Cash Flow Summary	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	131.7	0.0	0.0
Revenue (From Revenue Schedule)	(131.7)	0.0	0.0
Total Available	0.0	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.0	0.0	0.0

Sources and Uses of Funds

Agency: CCA Corporation Commission

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	0.0	0.0	0.0
Appropriated FTE:	0.0	0.0	0.0

Non-Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	0.0	0.0	0.0
Employee Related Expenses	0.0	0.0	0.0
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.0	0.0	0.0
Equipment	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	0.0	0.0	0.0
Cap Transfer due to Fund Balance	0.0	0.0	0.0
Prior Commitments or Obligated Expenditures	0.0	0.0	0.0
Non Appropriated 27th Pay Roll	0.0	0.0	0.0
Non-Appropriated Expenditure Total:	0.0	0.0	0.0
Non-Appropriated FTE:	0.0	0.0	0.0

Fund Justification

Justification: Following the Spring 2016 Legislative session, the fund revenues earmarked for the Az Commerce Authority are redirected to the Office of Economic Opportunity Operating Fund, beginning after August 6, 2016. The revenues projected in FY 2017 are actual revenues collected prior to this date, and will be transferred to the Az Commerce Authority.

Fund Description

Source: Certain percentages of fees from securities related filings.
 Use: Arizona Commerce Authority
 OSPB:

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 2600 Payment Card Clearing Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	416.7	1,038.7	0.0
Revenue (From Revenue Schedule)	622.0	(1,038.7)	0.0
Total Available	1,038.7	0.0	0.0
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	1,038.7	0.0	0.0

Fund Justification

Justification:

Fund Description

Source: Revenues collected from online processing of records filed through the Corporations division using credit cards.

Use: Funds are transferred to the appropriate fund associated with the specific filing action.

OSP: Funding source is from various fees charged to the public for licensing and other activities. The fund is set up as a pass through of deposits coming in to the Treasurer's Office from fees paid by payment cards.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 3043 Arizona Arts Trust Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	0.3	0.8	0.8
Revenue (From Revenue Schedule)	50.1	49.9	49.9
Total Available	50.4	50.7	50.7
Total Appropriated Disbursements	49.6	49.9	49.9
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	0.8	0.8	0.8

Appropriated Expenditure

Expenditure Categories	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Personal Services	28.1	29.0	29.0
Employee Related Expenses	19.4	20.9	20.9
Prof. And Outside Services	0.0	0.0	0.0
Travel - In State	0.0	0.0	0.0
Travel - Out of State	0.0	0.0	0.0
Food	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0
Other Operating Expenses	0.5	0.0	0.0
Equipment	1.6	0.0	0.0
Capital Outlay	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0
Transfers	0.0	0.0	0.0
Expenditure Categories Total:	49.6	49.9	49.9
Non-Lapsing Authority from Prior Years	0.0	0.0	0.0
Administrative Adjustments	0.0	0.0	0.0
Capital Projects (Land, Buildings, Improvements)	0.0	0.0	0.0
Appropriated 27th Pay Roll	0.0	0.0	0.0
Legislative Fund Transfers	0.0	0.0	0.0
Appropriated Expenditure Total:	49.6	49.9	49.9
Appropriated FTE:	1.0	1.0	1.0

Fund Justification

Justification:

Fund Description

Source: One third of filing fees for the annual reports filed with the Corporations Commission, Corporations division. All revenues are posted to the GF and then transferred monthly to the Commission on the Arts.

Use: The Corporation Commission is appropriated 1 FTE to assist in collecting the fee. The remainder of revenues furthers the objectives of the Commission on the Arts.

OSP: Revenues come from a portion of the filing fee for each annual report filed with the Arizona Corporation Commission. Funds are used to award grants to organizations and individuals artists with the purpose of advancing and fostering the arts in Arizona.

Sources and Uses of Funds

Agency: CCA Corporation Commission

Fund: 3180 Court Ordered Trust Fund

Cash Flow Summary

	Actual FY 2016	Estimate FY 2017	Estimate FY 2018
Balance Forward from Prior Year	976.4	1,233.1	1,233.1
Revenue (From Revenue Schedule)	256.7	0.0	0.0
Total Available	1,233.1	1,233.1	1,233.1
Total Appropriated Disbursements	0.0	0.0	0.0
Total Non-Appropriated Disbursements	0.0	0.0	0.0
Balance Forward to Next Year	1,233.1	1,233.1	1,233.1

Fund Justification

Justification:

Fund Description

Source: Restitution funds are received from respondents following an Order of Restitution pertaining to securities law violations.

Use: Funds are invested with the State Treasurer in an interest bearing account and distributed periodically to known investor claimants proportionate to their investment amounts.

OSPB: Restitution funds are received from respondents following an order of restitution pertaining to securities law violations. Funds are invested with the State Treasurer in an interest bearing account and distributed periodically to known investor claimants proportionate to their investment amounts.