

Program Summary of Expenditures and Budget Request

Agency: CCA Corporation Commission
Program: 4 Securities

		FY 2016	FY 2017	FY 2018	FY 2018
		Actual	Expd. Plan	Fund. Issue	Total
Program Summary					
4-1	Securities	4,349.7	4,545.0	250.0	4,795.0
4-2	SLI Securities Division Database Upgrade	668.1	0.0	0.0	0.0
Program Summary Total:		5,017.8	4,545.0	250.0	4,795.0
Expenditure Categories					
0000	FTE Positions	55.4	55.4	0.0	55.4
6000	Personal Services	2,727.4	2,940.0	200.0	3,140.0
6100	Employee Related Expenses	1,059.1	1,095.0	50.0	1,145.0
6200	Professional and Outside Services	124.1	50.0	0.0	50.0
6500	Travel In-State	19.8	35.0	0.0	35.0
6600	Travel Out of State	13.2	15.0	0.0	15.0
6700	Food (Library for Universities)	0.0	0.0	0.0	0.0
6800	Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000	Other Operating Expenses	1,047.5	410.0	0.0	410.0
8000	Equipment	26.7	0.0	0.0	0.0
8100	Capital Outlay	0.0	0.0	0.0	0.0
8600	Debt Service	0.0	0.0	0.0	0.0
9000	Cost Allocation	0.0	0.0	0.0	0.0
9100	Transfers	0.0	0.0	0.0	0.0
Expenditure Categories Total:		5,017.8	4,545.0	250.0	4,795.0
Fund Source					
Appropriated Funds					
2264-A	Securities Regulatory & Enforcement (Appropriate	4,305.0	3,836.1	250.0	4,086.1
2404-A	Securities Investment Management Fund (Appropri	711.4	708.9	0.0	708.9
		5,016.4	4,545.0	250.0	4,795.0
Non-Appropriated Funds					
2500-N	IGA and ISA Fund (Non-Appropriated)	1.4	0.0	0.0	0.0
		1.4	0.0	0.0	0.0
Fund Source Total:		5,017.8	4,545.0	250.0	4,795.0

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission
Program: 4 Securities

	FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
--	-------------------	-----------------------	------------------------	------------------

Fund: 2264-A Securities Regulatory & Enforcement (Appropriated)

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

4-1 Securities	3,636.9	3,836.1	250.0	4,086.1
4-2 SLI Securities Division Database Upgrade	668.1	0.0	0.0	0.0
Total	4,305.0	3,836.1	250.0	4,086.1

Appropriated Funding

Expenditure Categories

FTE Positions	42.4	42.4	0.0	42.4
Personal Services	2,229.3	2,440.0	200.0	2,640.0
Employee Related Expenses	849.6	886.1	50.0	936.1
Professional and Outside Services	124.1	50.0	0.0	50.0
Travel In-State	19.8	35.0	0.0	35.0
Travel Out of State	13.2	15.0	0.0	15.0
Food (Library for Universities)	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	1,042.3	410.0	0.0	410.0
Equipment	26.7	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

Fund 2264-A Total:

4,305.0	3,836.1	250.0	4,086.1
4,305.0	3,836.1	250.0	4,086.1

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission
Program: 4 Securities

FY 2016	FY 2017	FY 2018	FY 2018
Actual	Expd. Plan	Fund. Issue	Total

Fund: 2404-A Securities Investment Management Fund (Appropriated)

Program Expenditures

COST CENTER/PROGRAM BUDGET UNIT

4-1 Securities	711.4	708.9	0.0	708.9
Total	711.4	708.9	0.0	708.9

Appropriated Funding

Expenditure Categories

FTE Positions	13.0	13.0	0.0	13.0
Personal Services	498.1	500.0	0.0	500.0
Employee Related Expenses	209.5	208.9	0.0	208.9
Professional and Outside Services	0.0	0.0	0.0	0.0
Travel In-State	0.0	0.0	0.0	0.0
Travel Out of State	0.0	0.0	0.0	0.0
Food (Library for Universities)	0.0	0.0	0.0	0.0
Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
Other Operating Expenses	3.8	0.0	0.0	0.0
Equipment	0.0	0.0	0.0	0.0
Capital Outlay	0.0	0.0	0.0	0.0
Debt Service	0.0	0.0	0.0	0.0
Cost Allocation	0.0	0.0	0.0	0.0
Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

	711.4	708.9	0.0	708.9
--	-------	-------	-----	-------

Fund 2404-A Total:

	711.4	708.9	0.0	708.9
--	-------	-------	-----	-------

Program Group Summary of Expenditures and Budget Request for Selected Funds

Agency: CCA Corporation Commission					
Program: 4	Securities				
		FY 2016 Actual	FY 2017 Expd. Plan	FY 2018 Fund. Issue	FY 2018 Total
Fund: 2500-N IGA and ISA Fund (Non-Appropriated)					
Program Expenditures					
COST CENTER/PROGRAM BUDGET UNIT					
4-1	Securities	1.4	0.0	0.0	0.0
Total		1.4	0.0	0.0	0.0
Non-Appropriated Funding					
Expenditure Categories					
Personal Services		0.0	0.0	0.0	0.0
Employee Related Expenses		0.0	0.0	0.0	0.0
Professional and Outside Services		0.0	0.0	0.0	0.0
Travel In-State		0.0	0.0	0.0	0.0
Travel Out of State		0.0	0.0	0.0	0.0
Food (Library for Universities)		0.0	0.0	0.0	0.0
Aid to Organizations and Individuals		0.0	0.0	0.0	0.0
Other Operating Expenses		1.4	0.0	0.0	0.0
Equipment		0.0	0.0	0.0	0.0
Capital Outlay		0.0	0.0	0.0	0.0
Debt Service		0.0	0.0	0.0	0.0
Cost Allocation		0.0	0.0	0.0	0.0
Transfers		0.0	0.0	0.0	0.0
Expenditure Categories Total:		1.4	0.0	0.0	0.0
Fund 2500-N Total:		1.4	0.0	0.0	0.0
Program 4 Total:		5,017.8	4,545.0	250.0	4,795.0

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
Program: 4-1 Securities

Expenditure Categories	FY 2016		FY 2017		FY 2018	
	Actual	Expd. Plan	Fund. Issue	Total		
0000 FTE	55.4	55.4	0.0	55.4		
6000 Personal Services	2,727.4	2,940.0	200.0	3,140.0		
6100 Employee Related Expenses	1,059.1	1,095.0	50.0	1,145.0		
6200 Professional and Outside Services	124.1	50.0	0.0	50.0		
6500 Travel In-State	19.8	35.0	0.0	35.0		
6600 Travel Out of State	13.2	15.0	0.0	15.0		
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0		
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0		
7000 Other Operating Expenses	379.4	410.0	0.0	410.0		
8000 Equipment	26.7	0.0	0.0	0.0		
8100 Capital Outlay	0.0	0.0	0.0	0.0		
8600 Debt Service	0.0	0.0	0.0	0.0		
9000 Cost Allocation	0.0	0.0	0.0	0.0		
9100 Transfers	0.0	0.0	0.0	0.0		
Expenditure Categories Total:	4,349.7	4,545.0	250.0	4,795.0		
Fund Source						
Appropriated Funds						
2264-A Securities Regulatory & Enforcement (Appropriate	3,636.9	3,836.1	250.0	4,086.1		
2404-A Securities Investment Management Fund (Appropri	711.4	708.9	0.0	708.9		
	4,348.3	4,545.0	250.0	4,795.0		
Non-Appropriated Funds						
2500-N IGA and ISA Fund (Non-Appropriated)	1.4	0.0	0.0	0.0		
	1.4	0.0	0.0	0.0		
Fund Source Total:	4,349.7	4,545.0	250.0	4,795.0		

Program Budget Unit Summary of Expenditures and Budget Request for All Funds

Agency: CCA Corporation Commission
Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Categories	FY 2016	FY 2017	FY 2018	FY 2018
	Actual	Expd. Plan	Fund. Issue	Total
6000 Personal Services	0.0	0.0	0.0	0.0
6100 Employee Related Expenses	0.0	0.0	0.0	0.0
6200 Professional and Outside Services	0.0	0.0	0.0	0.0
6500 Travel In-State	0.0	0.0	0.0	0.0
6600 Travel Out of State	0.0	0.0	0.0	0.0
6700 Food (Library for Universities)	0.0	0.0	0.0	0.0
6800 Aid to Organizations and Individuals	0.0	0.0	0.0	0.0
7000 Other Operating Expenses	668.1	0.0	0.0	0.0
8000 Equipment	0.0	0.0	0.0	0.0
8100 Capital Outlay	0.0	0.0	0.0	0.0
8600 Debt Service	0.0	0.0	0.0	0.0
9000 Cost Allocation	0.0	0.0	0.0	0.0
9100 Transfers	0.0	0.0	0.0	0.0

Expenditure Categories Total:

668.1 0.0 0.0 0.0

Fund Source

Appropriated Funds

2264-A Securities Regulatory & Enforcement (Appropriate)

668.1 0.0 0.0 0.0

668.1 0.0 0.0 0.0

Fund Source Total:

668.1 0.0 0.0 0.0

Program Expenditure Schedule

Agency:	CCA Corporation Commission
Program:	4-1 Securities

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
FTE Positions		
FTE	55.4	55.4
Expenditure Category Total	55.4	55.4
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	42.4	42.4
2404-A Securities Investment Management Fund (Appropriated)	13.0	13.0
	55.4	55.4
Fund Source Total	55.4	55.4

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Personal Services		
Personal Services	2,727.4	2,940.0
Boards and Commissions	0.0	0.0
Expenditure Category Total	2,727.4	2,940.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	2,229.3	2,440.0
2404-A Securities Investment Management Fund (Appropriated)	498.1	500.0
	2,727.4	2,940.0
Fund Source Total	2,727.4	2,940.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Employee Related Expenses		
Employee Related Expenses	1,059.1	1,095.0
Expenditure Category Total	1,059.1	1,095.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	849.6	886.1
2404-A Securities Investment Management Fund (Appropriated)	209.5	208.9
	1,059.1	1,095.0
Fund Source Total	1,059.1	1,095.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Professional & Outside Services		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	60.3	30.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	63.1	20.0
Hospital Services	0.0	0.0
Other Medical Services	0.2	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Professional & Outside Services		
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0
Professional & Outside Services Excluded from Cost Alloca	0.0	0.0
Vendor Travel - Non Reportable	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.0	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.5	0.0
Expenditure Category Total	124.1	50.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	124.1	50.0
	124.1	50.0
Fund Source Total	124.1	50.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Travel In-State		
Travel In-State	19.8	35.0
Expenditure Category Total	19.8	35.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	19.8	35.0
	19.8	35.0
Fund Source Total	19.8	35.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Travel Out-of-State		
Travel Out of State	13.2	15.0
Expenditure Category Total	13.2	15.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	13.2	15.0
	13.2	15.0
Fund Source Total	13.2	15.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Food (Library for Universities)		
Food (Library for Universities)	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Aid to Organizations & Individuals		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Other Operating Expenditures		
Other Operating Expenditures	0.0	0.0
Insurance & Related Charges	35.0	35.0
Information Technology Services	43.2	45.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	212.5	235.0
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	10.9	15.0
Software Support and Maintenance	7.5	9.0
Operating Supplies	19.8	20.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	8.4	10.0
Advertising	2.0	1.0
Printing & Photography	0.1	0.0
Postage & Delivery	4.6	5.0
Miscellaneous Operating	35.4	35.0
Depreciation Expense	0.0	0.0
Expenditure Category Total	379.4	410.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	374.2	410.0
2404-A Securities Investment Management Fund (Appropriated)	3.8	0.0
	378.0	410.0
Non-Appropriated		
2500-N IGA and ISA Fund (Non-Appropriated)	1.4	0.0
	1.4	0.0
Fund Source Total	379.4	410.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Equipment		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Equipment		
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	8.2	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	14.1	0.0
Purchased Or Licensed Software/Website	4.4	0.0
Internally Generated Software/Website	0.0	0.0
Expenditure Category Total	26.7	0.0

Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	26.7	0.0
	26.7	0.0
Fund Source Total	26.7	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Capital Outlay		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Debt Services		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Cost Allocation		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Transfers		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Classification Listing				
Class Code	Title	Grade	Total FTE	Personal Services as of 07/01/16
			0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-1 Securities

AUN04	ACCOUNTANT 2	20	1.0	45.0
AUN04	ACCTG TECH 3	14	1.0	27.8
AUN09	ADMV SVCS OFFCR 2	21	1.0	50.0
AUN03	CC ADMV ASST 2	15	1.0	30.0
AUN06	CC ADMV ASST III	17	2.0	79.4
AUN01	CC ASSISTANT DIVISION DIRECTOR	01	1.0	116.0
AUN04	CC COLLECTOR III	18	1.0	34.0
AUN01	CC DIVISION DIRECTOR	01	1.0	121.5
AUN03	CC LEGAL ASSISTANT III	19	4.0	139.5
AUN02	CC SEC DEALER/INVTM ADVR EXMR	20	4.0	194.5
AUN06	CC SECURITIES INVTGN SPVR	21	1.0	68.0
AUN03	CC SECURITIES SPECIALIST III	03	6.0	372.9
AUN03	CC SECURITIES SPECIALIST SPV	04	1.0	75.0
AUN02	CC SECURITY ATTORNEY	01	12.0	1,133.4
AUN02	CC SECURITY/INVTM ADVISOR SPV	22	1.0	55.0
AUN03	CC SPECIAL INVESTIGATOR	20	8.0	296.1
ACV31	CLERK TYPIST II	09	1.0	25.6
ACV31	CLERK TYPIST III	11	1.0	28.0
AUN09	INVGR 3	18	3.0	141.1
AUN08	ST EXMNR 2	15	4.0	134.0
AUN02	STATE GOVERNMENT INTERN	01	0.4	6.7

Employee Retirement Coverage

Retirement System	FTE	Personal Services	Fund#
State Retirement System	42.4	2,440.0	2264-A
State Retirement System	13.0	500.0	2404-A

Combined Regular & Elected Positions At/Above FICA Maximum of \$118,500

Total FTE	Personal Services	FTE's not eligible for Health, Dental & Life
1.0	121.5	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
FTE Positions		
FTE	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Personal Services		
Personal Services	0.0	0.0
Boards and Commissions	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Employee Related Expenses		
Employee Related Expenses	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Professional & Outside Services		
External Prof/Outside Serv Budg And Appn	0.0	0.0
External Investment Services	0.0	0.0
Other External Financial Services	0.0	0.0
Attorney General Legal Services	0.0	0.0
External Legal Services	0.0	0.0
External Engineer/Architect Cost - Exp	0.0	0.0
External Engineer/Architect Cost- Cap	0.0	0.0
Other Design	0.0	0.0
Temporary Agency Services	0.0	0.0
Hospital Services	0.0	0.0
Other Medical Services	0.0	0.0
Institutional Care	0.0	0.0
Education And Training	0.0	0.0
Vendor Travel	0.0	0.0
Professional & Outside Services Excluded from Cost Alloca	0.0	0.0
Vendor Travel - Non Reportable	0.0	0.0
External Telecom Consulting Services	0.0	0.0
Non - Confidential Specialist Fees	0.0	0.0
Confidential Specialist Fees	0.0	0.0
Outside Actuarial Costs	0.0	0.0
Other Professional And Outside Services	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Travel In-State		
Travel In-State	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Travel In-State		
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Travel Out-of-State		
Travel Out of State	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Food (Library for Universities)		
Food (Library for Universities)	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Aid to Organizations & Individuals		
Aid to Organizations and Individuals	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Other Operating Expenditures		
Other Operating Expenditures	0.0	0.0
Insurance & Related Charges	0.0	0.0
Information Technology Services	668.1	0.0
Utilities	0.0	0.0
Non-Building or Land Rent	0.0	0.0
Building Rent Charges to State Agencies	0.0	0.0
COP Building Rent Charges to State Agencies	0.0	0.0
Rental of Land & Buildings	0.0	0.0
Interest Payments	0.0	0.0
Internal Acct, Budgeting and Financial Svcs.	0.0	0.0
Payments for Internal Services	0.0	0.0
Repair & Maintenance	0.0	0.0
Software Support and Maintenance	0.0	0.0
Operating Supplies	0.0	0.0
Resale Supplies	0.0	0.0
Sales of Assets	0.0	0.0
Conference, Education & Training	0.0	0.0
Advertising	0.0	0.0
Printing & Photography	0.0	0.0
Postage & Delivery	0.0	0.0
Miscellaneous Operating	0.0	0.0
Depreciation Expense	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Other Operating Expenditures		
Expenditure Category Total	668.1	0.0
Fund Source		
Appropriated		
2264-A Securities Regulatory & Enforcement (Appropriated)	668.1	0.0
Fund Source Total	668.1	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Equipment		
Vehicles - Capital Leases	0.0	0.0
Furniture - Capital Leases	0.0	0.0
EDP Equipment - Mainframe - Capital Leases	0.0	0.0
EDP Equipment - Midrange - Capital Leases	0.0	0.0
EDP Equipment - PCs/LAN - Capital Leases	0.0	0.0
Telecommunication Equipment - Capital Leases	0.0	0.0
Other Equipment - Capital Leases	0.0	0.0
Capital Equipment Purchases	0.0	0.0
Vehicles - Non-Capital	0.0	0.0
Furniture - Non-Capital	0.0	0.0
EDP Equipment - Mainframe - Non-Capital	0.0	0.0
Telecommunication Equipment - Non Capital	0.0	0.0
Other Equipment - Non-Capital	0.0	0.0
Purchased Or Licensed Software/Website	0.0	0.0
Internally Generated Software/Website	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Capital Outlay		
Capital Outlay	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Debt Services		
Debt Service	0.0	0.0
Expenditure Category Total	0.0	0.0

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Cost Allocation		
Cost Allocation	0.0	0.0
Expenditure Category Total	0.0	0.0

Program Expenditure Schedule

Agency: CCA Corporation Commission

Program: 4-2 SLI Securities Division Database Upgrade

Expenditure Category	FY 2016 Actual	FY 2017 Expd. Plan
Transfers		
Transfers	0.0	0.0
Expenditure Category Total	0.0	0.0

Arizona Corporation Commission

Program 4 -1 Securities

Summary of Professional & Outside Services

The proposed base budget of \$ 50.0 (thousand) for Professional & Outside Services includes the use of Temporary Agency Services, for clerical assistance as needed, the use of court reporters for legal proceedings, payments for required employee physicals, payments for expert witnesses, the use of Westlaw research tool, and for providing investor education seminars.
