## SaveAndInvest.org Investor Risk Quiz

Do you take on a lot of risk when making investments? Take our brief quiz to find out.

### 1. Have you ever owned any of the following investments? Check all that apply.

<table>
<thead>
<tr>
<th>Investment</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>Stocks</td>
<td></td>
<td></td>
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<tr>
<td>Bonds</td>
<td></td>
<td></td>
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<tr>
<td>Mutual funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private investments in oil and gas</td>
<td></td>
<td></td>
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<tr>
<td>Certificates of deposit</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private investments in real estate promissory notes</td>
<td></td>
<td></td>
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<tr>
<td>Penny stocks</td>
<td></td>
<td></td>
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<tr>
<td>Private investments in Internet start-ups (e.g., Amazon or Google)</td>
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<td></td>
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<tr>
<td>Private investments in foreign currency</td>
<td></td>
<td></td>
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<tr>
<td>Commodity futures</td>
<td></td>
<td></td>
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<tr>
<td>Hedge funds</td>
<td></td>
<td></td>
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<tr>
<td>Private investments in new energy technology (e.g., fuel cells or biodiesel)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Sale and lease-back agreements</td>
<td></td>
<td></td>
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<tr>
<td>Private investments in commercial real estate (e.g., shopping malls)</td>
<td></td>
<td></td>
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<tr>
<td>Private investments in movie deals</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Equity-indexed annuities</td>
<td></td>
<td></td>
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<tr>
<td>Fixed annuities</td>
<td></td>
<td></td>
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<tr>
<td>Variable rate annuities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Private investments in precious metals (e.g., gold coins)</td>
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<td></td>
</tr>
</tbody>
</table>

### 2. Have you ever checked the background of an investment professional before you hired them to see if they are licensed with a state or federal securities regulator? Check “yes” or “no”.

<table>
<thead>
<tr>
<th>Response</th>
<th>Yes</th>
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### 3. Have you ever checked to see if the investment product you are considering investing in is registered with state or federal securities regulators before you made an investment decision?

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### 4. Have you ever invested in a product because your friend, relative, co-worker or neighbor recommended it?

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OVER
5. Have you ever hired an investment professional because your friend, relative, co-worker or neighbor recommended it? Check “yes” or “no”.
   - Yes
   - No

6. Are you signed up for the Do Not Call list?
   - Yes
   - No

7. Have you attended an investment seminar with a free meal (not including this event)?
   - Yes
   - No

8. The statements below might describe potential investment opportunities. Read each statement and indicate how interested you would be in the investment on a scale of 1 to 7, where 1 = “Not at all interested” and 7 = “Extremely interested.”

   - “There is no way to lose on this investment—it is fully guaranteed.”
   - “I am a licensed broker through the SEC.”
   - “This is an opportunity to get in on the ground floor of a company that is about to roll out a revolutionary new technology.”
   - “The lowest return you could possibly get on this investment is 50% annually, but most investors are making upwards of 110% a year.”
   - “This investment product is registered with the SEC and your state securities agency.”
   - “This stock has outperformed the Dow Jones Industrial Average each year for the last five years.”
   - “This investment is for a company with excellent management and in a high growth industry.”
   - “This investment made hundreds of people extremely wealthy.”

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SaveAndInvest.org
1735 K Street, NW
Washington, DC 20006-1506
www.SaveAndInvest.org
1. Have you ever owned any of the following investments? Check all that apply.
   - Stocks
   - Bonds
   - Mutual funds
   - Private investments in oil and gas
   - Certificates of deposit
   - Private investments in real estate promissory notes
   - Penny stocks
   - Private investments in Internet start-ups (e.g., Amazon or Google)
   - Private investments in foreign currency
   - Commodity futures
   - Hedge funds
   - Private investments in new energy technology (e.g., fuel cells or biodiesel)
   - Sale and lease-back agreements
   - Private investments in commercial real estate (e.g., shopping malls)
   - Private investments in movie deals
   - Equity-indexed annuities
   - Fixed annuities
   - Variable rate annuities
   - Private investments in precious metals (e.g., gold coins)

   **Score Card**

   **PLACE YOUR QUIZ HERE TO SCORE**

   - **Score**
     - 0
     - 0
     - 0
     - 1
     - 0
     - 1
     - 1
     - 1
     - 1
     - 1
     - 1
     - 1
     - 1
     - 0
     - 1
     - 1
   - **Your Score**
     - 0
     - 1

2. Have you ever checked the background of an investment professional before you hired them to see if they are licensed with a state or federal securities regulator? Check “yes” or “no”.
   - Yes
   - No
   - **Score Card**
     - **Score**
       - 0
       - 1
   - **Your Score**
     - 0
     - 1

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   - Yes
   - No
   - **Score Card**
     - **Score**
       - 0
       - 1
   - **Your Score**
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     - 1

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   - Yes
   - No
   - **Score Card**
     - **Score**
       - 1
       - 0
   - **Your Score**
     - 1
     - 0

(Subtotal)
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   No  

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   “This investment made hundreds of people extremely wealthy.”

How did you do? Check your score:

0 to 3 points – Green Zone: You share few behaviors with investment fraud victims
4 to 6 points – Yellow Zone: Be careful
6 points or more – Red Zone: Learn more at SaveAndInvest.org