

Enforcement Actions 1999-2003

Date	Respondent
November 18, 2003	International Global Positioning, Inc.; John J. Madsen; Michael J. Coker; James w. Dreos d.b.a. Dreos Financial Services, and Jane Doe Dreos; Edmond L. Lonergan and Jane Doe Lonergan; Corporate Architects, Inc.
October 27, 2003	Steven C. Bond and Jane Doe Bond; B.B. Financial Benefits Group, Inc.
October 27, 2003	Worldwide Forex, Inc.; Universal FX, Incorporated; David Bridges c/o Worldwide Forex
October 20, 2003	Robert Shakman, Healthcare Purchasing Alliance, Inc.
October 14, 2003	Chris Hugh Rutland
September 18, 2003	Yucatan Resorts, Inc.; Yucatan Resorts, S.A.; Resort Holdings International, Inc.; Resort Holdings International, S.A.; World Phantasy Tours, Inc., a.k.a. Majesty Travel, a.k.a. Viajes Majesty; Avalon Resorts; Michael E. Kelly and Lory Kelly
May 20, 2003	Yucatan Resorts, Inc., d.b.a. Yucatan Resorts; S.A., Resort Holdings International, Inc., d.b.a. Resort Holdings International, S.A.; World Phantasy Tours, INC., a.k.a. Majesty Travel, a.k.a. Viajes Majesty; Michael E. Kelly and Lori Kelly
May 6, 2003	Intersecurities, Inc.; Gregory Russell Brown and Jane Doe Brown
April 25, 2003	Mutual Benefits Corporation
April 18, 2003	Douglas Sanchez and Karen Sanchez; DMS Power Cash Flow, LLC; Persanco, LLC
April 3, 2003	Robert C. Frost and Robin Frost
April 2, 2003	Ocean International Marketing, LTD.; Heros Global Marketing, LTD.; Seed International, LTD.; GFH International, LTD.; George Ioannou and Jane Doe Ioannou c/o GFH International; Ryan Ferreira and Jane Doe Ferreira c/o Ocean International Marketing, Ltd.
March 17, 2003	Wesley Karban Wyatt and Jane Doe Wyatt d.b.a. The Financial Greenhouse c/o Harry N. Stone, Attorney at Law
February 25, 2003	Kathleen Whorley Sommer d.b.a Sommer & Associates; John Doe
January 23, 2003	Tierra Group, a.k.a. Tierra Group Properties, a.k.a. Tierra Group Companies, a.k.a. Tierra Group, Inc., Preservation Trust Corporation a.k.a. Preservation Corporation, a.k.a. Preservation Trust Company, Partnership Preservation Trust, a.k.a. Partnership Preservation Corporation Limited Partnership, Caterpillar Foundation Properties, a.k.a. Caterpillar Foundation Properties Limited Partnership, Rene L. Couch, Terry Couch
December 31, 2002	Elliot Crosby d.b.a .Advance Senior Estate Planning; Marsha Crosby
December 30, 2002	Victor Monroe Stockbridge; G. Irene Stockbridge
December 18, 2002	Mark E. Labertew and Jane Doe Labertew; Les Fleishman and Jane Doe Fleishman

December 12, 2002	Ralph Shaul and Leslie Shaul
December 5, 2002	Global Trusts, LLC; Clyde F. Wagnon and Martha E. Wagnon; Jeri Woods and John Doe Woods
November 18, 2002	American Automotive Group, Inc.; Douglas Warren and Jane Doe Warren; Matthew W. Warren and Joan Doe Warren; Robert D. Bjerken and Jane Doe Bjerken
November 15, 2002	John R. Wallrich and Jane Doe Wallrich
November 14, 2002	Scottsdale Financial Funding Group, LLC; Martin & Griffin ,LLC; Gregory B. Gill a.k.a. Gregory P. Gill; Hayden Keith Holland; Tad L. Ulrich & Associates, LLC; Tad Lyn Ulrich; Senior Advisory Services, LLC; Wallace Butter worth
November 11, 2002	Evelyn Baumgardner, John Doe Baumgardner
October 28, 2002	One Vision Children's Foundation, Inc.; Hollister M. Marx; Wealth Management Resources, Inc.; Michael B. Maksudian; and Betty Maksudian; Michael A. Diaz; and Lorrina Diaz
September13, 2002	Scottsdale Financial Funding Group, LLC; Martin & Griffin, LLC; Gregory B. Gill a.k.a. Gregory P. Gill; Hayden Keith Holland; Tad L. Ulrich & Associates, LLC; Tad Lyn Ulrich; Senior Advisory Services, LLC; Wallace Butterworth
September 5, 2002	American National Mortgage; Secura Innovative Investment; Secura Mortgage Management; Cash Flow University INC.; Secura Fund Arizona , LLC; Larry William Dunning and Sheila Dunning; Phil Vigarino and Jane Doe; Robert K. Rehm; Mark Kesler and Jane Doe Kesler; Frank Caspare and Gail Caspare
August 23, 2002	Turn Two Trading Club; Dennis Wayne Little; Deborah L. Sorensen Little
June 28, 2002	Charles E. Conatser; Estate Conservation Strategies, Inc.
June 25, 2002	Alan Bruce Stoner
June 20, 2002	Carl DelanoWoodard a.k.a. Carl Woodard
June 12, 2002	Brian Bamman; Bamex International, LTD.
May 31, 2002	David R. Krizman
May 22, 2002	David Carroll Loach; Gary Milburn Laha
May 08, 2002	Bob's Cash Express, Inc.; Bob's Land One, Inc.; Challenge/Land USA, Inc.; Arizona Digital Security Tech., Inc.; American Dish Tech., Inc.; Robert H. Roberts; Donald P. Shoemaker; Garrett L. Wright a.k.a. Garrett Lee; Dan ("D.J.") R. Harper; Michael J. Sagnelli; Joyce Goodman
May 06, 2002	Parizek Capital Management, LLC; David AllenParizek, Jr.
March 29, 2002	Scottsdale Financial Funding Group, LLC; Martin & Griffin, LLC; Gregory B. Gill a.k.a. Gregory P. Gill; Hayden Keith Holland; Tad L. Ulrich & Associates, LLC; Tad Lyn Ulrich; Senior Advisory Services, LLC; Wallace Butterworth
February 26, 2002	Netgo, Inc.; Sdic Partnership; M-Corp International; M-Corp International, Ltd. A Turks And Caicos Corporation; Camelback, Ltd. A Turks And Caicos Corporation; Neil David Lewis; Norman Michael Miller

February 20, 2002	Michael David Fromkin
January 17, 2002	Philip William Merrill
December 26, 2001	Brian Lloyd Gibbons
December 14, 2001	James T.M. Verbic
December 11, 2001	Ronald Lee Keel; Donald Ramey; Meracana Mining Corporation
October 30, 2001	Grand Canyon Wagon Adventure Tours, Inc.; Floyd Willard Wiggins, Jr.
October 10, 2001	Richard Dean Carrington; Robert Witt
October 05, 2001	Creative Financial Funding, LLC; American Money Power, Inc.; Federal Capital, LLC; Corporate Fiducial Services, Inc.; U.S.Federal Financial Corporation; Paul J. Meka; Larry Dunning; Robert K. Rehm; Tom Gaffney
October 05, 2001	Bar-Lo Millennium Corporation; Ken Renken; Radoica Barjaktarovic; George O.P. Fleming c/o Bar-Lo Millennium Corporation
October 03, 2001	Michelle L. Webb
September 26, 2001	Clay Eugene Lambert
September 26, 2001	Mark Aaron Sutter
August 20, 2001	Republic Cash Advance, Inc.; Quick Cash Advance, Inc.; Curtis J. Billups; Mark Ferguson
August 14, 2001	21st Century Satellite Communications, Inc.; Howard S. Baldwin; Glenn A. Liberatore Financial Services; Glenn A. Liberatore
August 14, 2001	Robert Dale Hylton; Hylton Enterprises, Inc.; Oreo Management Co.; Legend Leasing Co.; Liberty Marketing Co.; Passport Wholesale Supply
August 14, 2001	David Nutter / North American Insurance Services, LLC
August, 10 2001	Michael Lynn Bill; Beneficial Assistance; William R. Evans; Chartered, Ruttenberg & Associates Insurance Marketing, Inc.
July 18, 2001	Hotel Connect LLC's #100-1100 ; Mark Alan Melkowsky, SR.; Eagle Communications, Inc.; Ronald Lee Goble; Southwest Trust & Financial; Gary Lyle Christian; Cornerstone Senior Planning; Hyland A. Stokes; Estate Planning Protection; Roger Lancette; National Advisory Services and Senior Advisory Services; Wallace Butterworth
June 20, 2001	Aeromax Inc.; Aeromag Corporation; Lexington Bartlett; David L. Sears
May 14, 2001	Easy Money Auto Leasing, Inc.; Superior Financial Services, Inc.; James Anthony Cicerelli; David Paul French
April 10, 2001	Accelerated Success Inc.; Kenneth R. Morris; Robert D. Pierson; Integrity Assured Life Settlements, Inc.; Steven S. Levine
March 29, 2001	Melvin Lee Cline; Why Not Entertainment, LLC

March 29, 2001	Stephen Michael Johnson; Ferndale Financial Group
February 28, 2001	M.G. Natural Resources Corporation f.k.a. Mariah International; Guildmark Industries and M.G. Gold Corporation, currently known as Xenolix Technologies, Inc.; Alvin Charles Johnson, Jr.
January 25, 2001	Mobile Cash Systems LLC; World Wireless Solutions Inc.; World Electronic Payment Solutions Inc.; World Cash Providers LLC; World Cash Providers Inc.; Mark Alan Melkowski Sr.; Eagle Communications, Inc.; Eagle One Financial & Tax, LLC; Gerald B Johnson; Kimber Lea Baudour
January 25, 2001	William E Bergh; World Wide Business Opportunities
January 19, 2001	Arthur Andersen L.L.P. - Verified Complaint And Application For Injunction Relief
December 28, 2000	Charles Ray Stedman; Wendell T. Decker, JR.; Oxford Development, LLC; Profutura, LLC; CNT Family Fun Outlets, Inc.; Charles W. Testino, JR; Arizona Investment Advisors, Inc.; Keith B. "Skip" Davis; Spy Glass Enterprises LLC; Keith B. Davis, Inc.
December 27, 2000	Tower Equities, Inc; Philip A. Lehman
September 27, 2000	Arthur Andersen L.L.P. - This notice was withdrawn on January 23, 2001. See January 19, 2001 Civil Complaint.
September 07, 2000	Global Harvest Corp.; David Patten; Albert Tabah
June 26, 2000	Jerome Alex Zanowski
June 1, 2000	Ethico Medical Management; Jane B. Lewis; Kimberly B. McMahan
June 1, 2000	Mary Kersey; Successful Finance Inc.
May 1, 2000	Scott Gould
April 21, 2000	Calumet Slag, Inc.; Gareth N. Patton; Jeffery G. Crawford; Matthew E. Hunzinger
April 18, 2000	Susan Marie Wuest
April 06, 2000	Joseph Michael Guess, SR.; Progressive Financial Management; James Douglas Sherriffs; Richard Gordon Davis; RGD; RGD Enterprises, Inc.; Ira Joe Patterson; Randall Wayne Smith, JR.; Bally Overseas Trading Inc.
March 29, 2000	Tucson Estate Financial Advisors; James Kinion II
December 29, 1999	Deborah Suann Angus
December 27, 1999	Estate Service II
December 27, 1999	National Financial Group
November 10, 1999	Safari Media Inc.
September 17, 1999	Retirement Investment Group

August 24, 1999	Cochise Financial Corp.
July 29, 1999	Premiere Financial Group et al