

Enforcement Actions 2008-2016

Date	Respondent
December 23, 2016	J. Randall Gladden (CRD #1789356)
December 12, 2016	Premier Asset Management Group, L.L.C.; Michael Berry Eckerman; Tonya Eckerman
October 4, 2016	WMF Management, L.L.C.; Woodbridge Group of Companies, L.L.C.; Woodbridge Mortgage Investment Fund 1, L.L.C.; Woodbridge Mortgage Investment Fund 2, L.L.C.; Woodbridge Mortgage Investment Fund 3, L.L.C.; Woodbridge Mortgage Investment Fund 3A, L.L.C.; Robert H. Shapiro; Robert W. Carfagno, Senior (CRD # 2387162); Debra L. Carfagno; AIO Financial L.L.C.; William F. Holliday (CRD #4930333); Guadalupe A. Holiday
October 3, 2016	Ryan Miguel (CRD #4581157, a.k.a. Ryan Miguel Pina and Ryan Lee Oliver); Robert Eric Hawkins; Infinity Fuels, Inc.
September 27, 2016	Jacob Wohl; Matthew Johnson; Wohl Capital Investment Group, L.L.C.; NeX Capital Management, L.L.C.; Montgomery Assets, Inc.
September 19, 2016	Delta FX Trade
September 9, 2016	Coverlugg, L.L.C.; FoneFace, L.L.C.; Birdie Media L.L.C.; Gregory J. Sanchez; Jill K. Sanchez
August 30, 2016	Jeremy Diaz (CRD #4735164); IDIAZ, L.L.C.; Wealth Creator Private Equity, L.L.C.
July 8, 2016	John Anthony Waszolek (CRD #800403); Kathleen Waszolek
June 29, 2016	Visionary Business Works, Inc. d.b.a. Fleetronix; Robert Brian Brauer; Melissa Brauer; Timothy John Wales; Stacey Wales
June 28, 2016	Gregory Allen McClain
June 22, 2016	Tracy N. Wengert (CRD #3182678); Jeanette Wengert
March 31, 2016	Paul A. Steffany (CRD #1082262)
March 25, 2016	Marchant International Resources, Inc.; Kenneth White (a.k.a. Kenneth Whyte)
March 14, 2016	David J. Escarcega (CRD #4367584)
February 23, 2016	Robert J. Moss; Jennifer L. Moss; The Fortitude Foundation; Ventures 7000, L.L.C.; Jeffrey D. McHatton; Starla T. McHatton; Robert D. Sproat; Kevin Krause; Vernon R. Twyman, Jr.
January 8, 2016	Bart J. Ellis; Colleen Ellis; Oak Capital Partners, L.L.C.
December 30, 2015	Shadow Beverages and Snacks, L.L.C.; Lucio George Martinez; Lisa K. Martinez; Samuel A. Jones
August 26, 2015	USA Barcelona Realty Advisors, L.L.C.; USA Barcelona Hotel Land Company I, L.L.C.; Richard C. Harkins; Robert J. Kerrigan (CRD #268516); George T. Simmons; Janet B. Simmons; Bruce Orr

June 30, 2015	LoanGo Corporation; Justin C. Billingsley; Heather Billingsley; Jeffrey Scott Peterson; John Keith Ayers; Jennifer Ann Brinkman-Ayers
June 24, 2015	Franklin AAA Holdings, L.L.C.; Anthony Clavien
June 18, 2015	Henryk Jan Kunowski (a.k.a. H. Jon Kunowski and Jon Kunowski)
May 7, 2015	Concordia Financing Company, Ltd. (a.k.a. Concordia Finance); ER Financial & Advisory Services, L.L.C.; Lance Michael Bersch; David John Wanzek; Linda Wanzek
April 8, 2015	Deer Park Development Corporation; Marty O'Malley; Julie Unruh O'Malley; Robert D. Bjerken
November 14, 2014	Red Mountain Funding, Inc.; Bryan J. Sammons; Mary L. Sammons
September 17, 2014	Investment Acquisitions Group, L.L.C.; Jeffrey Heady; Amy Heady
September 11, 2014	Michelle Lee Wagner (CRD #2403647)
July 1, 2014	Anthony Ray Stacy (CRD #1772484)
June 2, 2014	Catharon Software Corporation; Betsy A. Feinberg; Michael A. Feinberg
April 28, 2014	Timothy D. Moran (CRD #2326078); Patricia Moran; Patrick Moran (CRD #1496354); Kelly Moran
March 24, 2014	Neoteric Energy, Inc.; Kevin D. Grace; Madelynn R. Jones (CRD #4482773)
February 27, 2014	Concordia Financing Company, Ltd. (a.k.a. Concordia Finance); ER Financial & Advisory Services, L.L.C.; Lance Michael Bersch; David John Wanzek; Linda Wanzek
February 26, 2014	Catharon Software Corporation; Betsy A. Feinberg; Michael A. Feinberg
December 31, 2013	Monika Catlin; Monika Catlin as Trustee of MLC Living Trust Dated 3-17-1999; Damopa Investments, L.L.C.; Monika Catlin, L.L.C.
December 10, 2013	Todd R. Nuttall; Magdalena Homes, L.L.C., Rotall Marketing Group L.L.C. d.b.a. Direct Rev Millionaire; Todd Robert Homes
November 19, 2013	William N. Nordstrom, Linda Nordstrom, Nordstrom Nordstrom I, Inc.; Nordstrom Imports, Inc.
November 19, 2013	Michael J. Blake (CRD #2022161)
November 18, 2013	Kent Maerki; Norma Jean Coffin (a.k.a. Norma Jean Maerki and Norma Jean Maule); Dental Support Plus Franchise, L.L.C.
November 5, 2013	James F. Liebes (CRD #2332174); Lanesborough Financial Group L.L.C.
November 5, 2013	Brian C. Hageman; Deluge, Inc.; Hydrotherm Power Corporation

November 5, 2013	Jon Joseph Bauman (CRD #5007841)
September 6, 2013	Nathaniel J. Wilson
September 6, 2013	Out of the Blue Processors, L.L.C. d.b.a. Out of the Blue Processors II, L.L.C.; Mark Steiner (CRD #1834102); Shelly Steiner
September 6, 2013	Ride Hard and Pray, L.L.C.; Robert J. Dudek (a.k.a. Bobby D. and Bobby Dudek); Renita G. Dudek
April 23, 2013	Jonathon James Murray; Wendy Lynn Murray
April 23, 2013	Lynn R. Goldney (CRD #1325181); Valerie L. Goldney
April 16, 2013	3T Options, L.L.C.; Tyrone L. Brooks
February 7, 2013	Arizona Gold Processing, L.L.C.; AZGO, L.L.C.; Charles L. Robertson
January 28, 2013	James F. Liebes (CRD #2332174); Lanesborough Financial Group L.L.C.
January 15, 2013	TV Products, L.L.C. f.k.a. US Loans Negotiation, L.L.C.; Stephen Christopher Donovan
December 4, 2012	Thomas Laurence Hampton (CRD #2470192); Stephanie Yager; Timothy D. Moran (CRD #2326078); Patricia Moran; Patrick Moran (CRD #1496354); Kelly Moran; Hampton Capital Markets, L.L.C.
November 8, 2012	Tri-Core Companies, L.L.C.; Tri-Core Mexico Land Development, L.L.C.; Tri-Core Business Development, L.L.C.; ERC Compactors, L.L.C.; ERC Investments, L.L.C.; C&D Construction Services, Inc.; Pangaea Investment Group, L.L.C. d.b.a. Arizona Investment Center; Jason Todd Mogler; Brian N. Buckley; Cheryl Barrett Buckley; Casimer Polanchek; Nicole Kordosky
November 7, 2012	Brian Patrick Langenbach; Sheri Lynn Barbara Langenbach; Earth Explorations, L.L.C.
October 26, 2012	Morrie S. Friedman; Judith Ann Friedman
October 24, 2012	Joshua Trent (CRD #3096291) d.b.a. PHXiNVEST; Derek Dahl d.b.a. PHXiNVEST; Tasha Trent Dahl; Real Estate Monster, L.L.C.
October 17, 2012	Mark Dana Hughes (CRD #1843511); Dolly A. Hughes; Legacy Financial Advisors, L.L.C. (CRD #114029)
October 3, 2012	Huel Cox (CRD #1638341)
September 21, 2012	Patrick Leonard Shudak; Promise Land Properties, L.L.C.; Parker Skylar & Associates, L.L.C.
September 21, 2012	Patrick B. Hammons (CRD #1030468); Peri Ann Hammons (a.k.a. Peri Ann Brown); TF6 Advisors, L.L.C. d.b.a. TFG Advisors; Pacific Ventures & Trading L.L.C.
September 21, 2012	International Air Medical Services, Inc.; Thomas F. Kelley; Laura Kelley

September 21, 2012	Joshua Trent (CRD #3096291) d.b.a. PHXiNVEST; Derek Dahl d.b.a. PHXiNVEST; Tasha Trent Dahl; Real Estate Monster, L.L.C.
September 20, 2012	Donna Kay Beers (CRD #1172038); James Beers
September 4, 2012	Morrie S. Friedman; Judith Ann Friedman
August 15, 2012	True North Business Ventures, L.L.C.; Marvin Quinton Wilson; Krista Dianne Wilson
August 10, 2012	Christopher Dean Dedmon (CRD #3015575); Kimberly Dedmon; Robert R. Cottrell (a.k.a. Rob Cottrell); SDC Montana Consulting, L.L.C. (a.k.a., d.b.a., a.b.n. SDC Montana and SDC Montana Oil & Gas Exploration); RSC Adventures, L.L.C.
July 12, 2012	Thomas Laurence Hampton (CRD #2470192); Stephanie Yager; Timothy D. Moran (CRD #2326078); Patricia Moran; Patrick Moran (CRD #1496354); Kelly Moran; Hampton Capital Markets, L.L.C.
April 25, 2012	Chazel Capital, Inc.; OFIR Mine Project, LP; Kyle K. Huskin
April 24, 2012	Alonzo L. Russell; A.L. Russell & Associates L.L.C.; RE-STAR L.L.C.; RE-STAR Holdings L.L.C.
April 6, 2012	Arizona Gold Processing, L.L.C.; AZGO, L.L.C.; Charles L. Robertson
April 5, 2012	Crystal Pistol Resources, L.L.C.; Crystal Pistol Management, L.L.C.; Liberty Bell Resources I L.L.C.; Peter Pocklington; John M. McNeil
March 30, 2012	Benjamin M. Cvetkovich; Sterling Investments Group International, L.L.C.; George A. Pruden; Janet F. Pruden
March 30, 2012	Seed Corporation; Randall Duane Simonson; Marilyn J. Simonson; Karl Henry Rehberg (a.k.a. Shawn Pierce); Helen Rehberg (a.k.a. Lisa Pierce)
March 5, 2012	Andrew C. Menichino; Innovative Construction, Inc.; Atlantic Lexus, Ltd.
February 22, 2012	Out of the Blue Processors, L.L.C. d.b.a. Out of the Blue Processors II, L.L.C.; Mark Steiner (CRD #1834102); Shelly Steiner
January 30, 2012	SouthWest BioFuels, L.L.C.; Rick J. Jimenez; Susan C. Jimenez
January 27, 2012	G4i Capital Partners, Inc. a.k.a. GCFI Group
January 12, 2012	Mountain State Power, Inc. d.b.a. Sovereign Energy Partners, Inc. and Sovereign Energy International
January 11, 2012	Roger D. Woods; Jocelyn Dela Cruz Clemente (a.k.a. Joy Clemente, Jocelyn Woods, and Joy Woods); Phoenix Funding, L.L.C.
December 20, 2011	Arthur Fried; Comprehensive Cash Flow Funding, Inc.; WeBuyHomesInAZ, L.L.C.; My Bargain Gift Bag, L.L.C. f.k.a. Brooklyn Boys Ventures, L.L.C.; Loan Mod Shrink, f.k.a. Escalade Ventures, L.L.C.
December 20, 2011	Thomas Laurence Hampton (CRD #2470192); Stephanie Yager; Hampton Capital Markets, L.L.C.
December 20, 2011	Richard M. Schmerman (CRD #1302988) d.b.a. Diversified Financial and/or Diversified Financial Planners; Amy Schmerman

November 10, 2011	Thomas Laurence Hampton; (CRD #2470192); Jane Doe Hampton; Hampton Capital Markets, L.L.C.
October 20, 2011	David Paul Smoot; Marie Kathleen Smoot (a.k.a. Kathy Smoot); Native American Water, L.L.C. (d.b.a. Natawa); Natawa Corporation (d.b.a. Natawa); American Indian Technologies International, L.L.C. (a.k.a. AITI)
July 18, 2011	Terry L. Samuels; Elizabeth Samuels; James F. Curcio; Jill L. Curcio; 3-CG, L.L.C.; Choice Property Group, L.L.C.; Azin Investor Group, L.L.C.; Azin Investor Group II, L.L.C.; Azin Investor Group III, L.L.C.; Azin Investor Group IV, L.L.C.; Combined Holdings IV, L.L.C.; Combined Holdings V, L.L.C.
June 14, 2011	Gary Patrick Duffy (CRD #1779786); The Investment Advisory Group, L.L.C.
June 8, 2011	Rodolfo Alvarez (CRD #4375595); Laura Gonzalez
June 6, 2011	Beverly Michele West; Ross West
May 23, 2011	Craig Randal Munsey; Jane Doe Munsey; Marketing Reliability Consulting, L.L.C. d.b.a. MRC L.L.C.; Denver Energy Exploration, L.L.C.
May 2, 2011	Kent M. Axtell, d.b.a. Sherlock Homes and Finding Homes for Investors; Janis C. Axtell; Executive Real Estate Solutions, L.L.C.
April 6, 2011	Fred Otto Bohn; Marsha Bohn; Capital Oil & Gas, Limited (a.k.a. Capital Oil & Gas, Ltd. f.k.a. Omni Petroleum Limited)
March 16, 2011	Weldon Beall; Weldon L.L.C.
March 11, 2011	David Shorey; Mary Jane Shorey; Westcap Energy Inc. d.b.a. Westcap Solar
March 8, 2011	David Shorey; Mary Jane Shorey; Westcap Energy Inc. d.b.a. Westcap Solar
March 1, 2011	Maglev Wind Turbine Technologies, Inc.; Maglev Renewable Energy Resources, Inc.; Renewable Energy Development, Inc.; Renewable Energy Systems, Inc.; Edward L. Mazur; Jane Doe Mazur; Ronnie Williams; Jane Doe Williams; Mag T Inc.; RLgman Corp.; Stable, L.L.C.; Richard L. Green; Donald Andrew Rothman
February 2, 2011	Edward Joseph Barsano a.k.a. Ed Barsano; Jeanne Barsano; Robert Coleman Stephens a.k.a. Bob Stephens; Jane Doe Stephens; CoolTrade, Inc.
February 2, 2011	Jerry Martin Tolle, Sr.; Jane Doe Tolle; Cashflow Investment Properties, L.L.C.; Jason Mendoza (CRD #5528287); Jane Doe Mendoza
January 18, 2011	Attila G. Toth (CRD #2565633); Erin M. Hall
December 30, 2010	Scott Ryan Tischler (CRD #3248953) d.b.a. Jericho Capital Group; Jane Doe Tischler; Jericho Oil & Gas, L.L.C.; Lake Valley Petroleum, L.L.C.
December 23, 2010	USDB Group Inc. a.k.a. US Deposit Brokers Group a.k.a. USDB; Hartawan Widayatmo; Jane Doe Widayatmo
December 22, 2010	Edwin A. Sanchez; Melissa Sanchez; Gregory Rigby; Jane Doe Rigby; New Rise Investments, L.L.C. f.k.a. New Egg Investments, L.L.C.; AZ Real Estate Group, L.L.C.
December 16, 2010	King Solomon Mining, L.L.C.; Daniel F. Thompson, Sr.; Robin Ann Thompson; Lisa A. Shackley
December 16, 2010	Mark Steven Moroney; Linda Sue Moroney

December 15, 2010	David Come Viens (f.k.a. David Warner Come, David Zachery Combs, and David Zachery); Scott Andrew Klaschka; Assisted Living Development Company, Inc.; ALDCO Investment #2 L.L.C. d.b.a. Concorde Assisted Living; ALDCO Investment #3 L.L.C. d.b.a. Assisted Living Residences at 51; ALDCO Investment #4 L.L.C. d.b.a. Bridges of Arcadia Assisted Living and Memory Care; ALDCO Investment #5 L.L.C. d.b.a. Cottages at Country Club I; ALDCO Investment #6 L.L.C. d.b.a. Reflection Bay Assisted Living and Memory Care; ALDCO Investment #10 L.L.C. d.b.a. Great Plains Assisted Living and Memory Care
December 15, 2010	Kenneth Joseph Plein; Mary Kathryn Plein (a.k.a. Mary Kay Plein); Kenneth Joseph Plein and Mary Kathryn Plein (a.k.a. Mary Kay Plein), Co-Trustees of the Plein Family Trust u.t.a. dated December 1, 1993; Plein Enterprises Incorporated (d.b.a. Tri-Star Realty)
December 10, 2010	Arthur Brent Payne; Carolyn L. Payne; Michael Richard Olson; Jane Doe Olson; Steeple Rock Funding, L.L.C.; Granite Loan Fund, L.L.C.
December 8, 2010	Rob Thomas Hitchcock (CRD #2946739) d.b.a. Pillar Investment Services; Shelly Hitchcock
November 15, 2010	Joseph Mack d.b.a. Secure Retirement Solutions; Helen Marie Mack; Mack Financial Group, L.L.C.; Darin Whittington, (CRD #2569037); Gina Whittington
October 21, 2010	Joseph Cosenza; Andrea Benson; U.S. Media Team, L.L.C.; Thomas Brandon; Diane M. Brandon; Cell Wireless Corporation f.k.a. U.S. Social Scene; David Shorey; Mary Jane Shorey
October 14, 2010	Ulf Olof Holgersson and Laverne J. Abe d.b.a. Viking Asset Management
October 7, 2010	Jere Parkhurst and Michelle Parkhurst d.b.a. C-Street Financial Group and C-Street Development, L.L.C.; C-Street Holdings, L.L.C.; Phoenix Financial Holdings, L.L.C.
September 23, 2010	Norstreet Portfolio, L.L.C.; Nathan Nordstrom; Lorrie Beckham (f.k.a. Lorrie Nordstrom); Wayne Scott Clague; Karen Stensler (f.k.a. Karen Clague)
September 21, 2010	Brian Patrick Langenbach d.b.a. "Land-Corp of Arizona, Inc."; Sheri Lynn Barbara Langenbach
September 9, 2010	Richard M. Schmerman d.b.a. Diversified Financial and/or Diversified Financial Planners; Amy Schmerman
August 17, 2010	Five Star Capital Markets, L.L.C.; James Piccolo; Mary Elizabeth Piccolo; Craig Cottrell, (CRD #4552833); Andrea Cottrell; Charlevoix Homes, L.L.C.; Michael Roberts
August 3, 2010	Five Star Tree Service and Landscapes, L.L.C. (a.k.a. Five Star Tree Service); Richard McCullum, Jr.; Leah Atwood
August 2, 2010	Steven Dale Morrey d.b.a. Mayet, Inc.; Jennifer Morrey; Mayet Holdings, L.L.C. d.b.a. Mayet Holdings, Ltd.
July 21, 2010	Ethan Sturgis Day; Theresa Day; Silversprings Real Estate Development & Investments, L.L.C. f.k.a. Silverleaf Real Estate Development & Investments, L.L.C.; Desert Oasis Condominium, L.L.C.; The Mayan Resort & Casino, L.L.C.
July 9, 2010	Lamel G. Young a.k.a. Mel Young d.b.a. Powerball Records & Entertainment; Jane Doe Young
May 26, 2010	La Bella Investments, L.L.C.; James Mitchell; Deseree T. Mitchell
May 21, 2010	Kenneth Williams d.b.a. KWD Commercial Construction Services; Jane Doe Williams
April 20, 2010	Global Trading Partners, L.L.C.; Michael Eugene Gowens; Jane Doe Gowens

April 19, 2010	Todd Allan Hoss individually and d.b.a. Sellman Weis Mortgage & Investment Corporation and Chesterfield Mortgage Investment Corporation; Jane Doe Hoss; Rick Sellman individually and d.b.a. Sellman Weis Mortgage & Investment Corporation and Chesterfield Mortgage Investment Corporation; Jane Doe Sellman; Sellman Weis Mortgage & Investment Corporation
February 19, 2010	David E. Walsh and Lorene Walsh d.b.a. New York Networks, Inc. f.k.a. Jubilee Acquisition Corporation and as Caliper Acquisition Corporation; The New York Network, Inc.; The New York Networks, Inc.; Christopher A. Jensen; Julie Shayne Jensen; Rodolfo Preciado; Jane Doe Preciado
February 4, 2010	Carol Dee Aubrey; John Doe Aubrey; Progressive Energy Partners, L.L.C.; Progressive Energy Partners, L.L.C. #1; Progressive Energy Partners, L.L.C. #2; Progressive Energy Partners, L.L.C. #3; Progressive Energy Partners, L.L.C. #4
January 5, 2010	Barron Wilson Thomas; Barron Thomas Scottsdale, L.L.C.; Barron Thomas Aviation, Inc.; Barron Thomas Aviation Holdings, Inc.; Barron Thomas Southwest, Inc.
December 30, 2009	Morgan Financial, L.L.C.; Jimmy Hartgraves Jr.; Laurie Hartgraves
December 23, 2009	Miko D. Wady; Jennifer L. Savage (f.k.a. Jennifer L. Wady); Nato Enterprises, L.L.C.; Malika S. Smith; Kory C. Smith; Bobby G. Goodson; Pamela D. Goodson; CAA General Partnership; Mario K. Reed; Phoenician Entertainment, L.L.C.; Thurston Smith; Shavone Smith; B.Y.B. Entertainment, L.L.C.
December 17, 2009	Five Star Tree Service and Landscaping, L.L.C.; Richard McCullum, Jr.; Leah Atwood
December 8, 2009	Theodore J. Hogan & Associates, L.L.C. a.k.a. Ted Hogan and Associates; Theodore J. Hogan a.k.a. Ted Kills in the Fog; Christina L. Damitio a.k.a. Christina Hogan
November 16, 2009	MJG Enterprises, Inc. d.b.a. Mike's Lock Club; Anthony Boscarino (a.k.a. Mike Brown and Anthony Kokas); Marguerite Jeane Gerhart (a.k.a. Marguerite Boscarino)
October 20, 2009	Larry Wayne Ziegler (a.k.a. Zig Ziegler); Troy Dee Truvillion; Jenia Dimitrova; Motion DNA, Inc.
October 20, 2009	Michael T. Bell; Shawn R. Salazar; Adamas Investments, L.L.C.
October 9, 2009	Rex G. Wheeler, Jr.
September 24, 2009	Sir Mortgage & Finance of Arizona, Inc.; Gregory M. Sir a.k.a. Greg Sir; Erin M. Sir
September 23, 2009	Richard Miles Dorman; Rebecca Dorman; Michael Vincent Ring; Kelly Ring; Elysium Capital Group, L.L.C.
August 20, 2009	Jordan Crossing Properties, L.L.C.; Chris Blackford; Nicole Blackford
August 6, 2009	Thomas Anthony Labry d.b.a. Iron Horse Petroleum, Inc.; Jane Doe Labry
August 4, 2009	Energetics, Inc.; Steven P. Giuffrida; Michelle Giuffrida; Rodney Peterson; Jane Doe Peterson
July 31, 2009	Jolleen K. Hansen; Nathan E. Hansen; Thomas S. Blackwell (CRD #4370822); Kimberly Blackwell; Deluxe Designs International, L.L.C.; Deluxe Live Productions
July 27, 2009	Michael C. Reynolds; Tanzia Reynolds; Cash 2 U, L.L.C.; Dos Ninas, L.L.C.; Par 3 Management, L.L.C.
July 22, 2009	Thomas Anthony Labry d.b.a. Iron Horse Petroleum, Inc.; Jane Doe Labry

June 26, 2009	John W. Pacheco; Angela Pacheco; Bill L. Walters; Jacquelyn Walters; Financial American Corporation; The Financial American Group, L.L.C.; American Apartment Fund XI, LP
May 21, 2009	Secure Resolutions, Inc.; Douglas Cottle; Kyla Cottle
May 20, 2009	Green Panel Corporation; Joseph Samuel Burton; Bonnie Eileen Burton; Panelized Building Systems of Prescott, L.L.C. (a.k.a. "Panelized Building Systems")
April 24, 2009	Dustin J. Lunt (CRD #4705919); Kami E. Lunt; DJL & Associates, L.L.C.
April 24, 2009	Thomas S. Blackwell (CRD #4370822); Kimberly Blackwell; Team Heat, L.L.C.
April 22, 2009	Lamont C. Paterson II a.k.a. Folo Patterson d.b.a. Olof Enterprises; Eletrea L. Patterson; Olof Enterprises, L.L.C.
April 21, 2009	Robert W. Mangold; Michelle M. Mangold; One Source Mortgage & Investments, Inc.; Strategic Equity Investments, L.L.C.
March 27, 2009	Sports Dimensions, Inc.; Marc Hubbard; Jane Doe Hubbard
March 13, 2009	West Mining and Innovations, Inc.; Avison C. (AC) West; Barbara M. West
March 12, 2009	Radical Bunny, L.L.C.; Horizon Partners, L.L.C.; Tom Hirsch a.k.a. Tomas N. Hirsch; Diane Rose Hirsch; Berta Friedman Walder a.k.a. Bunny Walder; Howard Evan Walder; Harish Pannalal Shah; Madhavi H. Shah
March 4, 2009	Dona Kuryanowicz
February 27, 2009	Dan Wise; Cynthia Wise; Whispering Winds Properties, L.L.C.; LM Beagle Properties, L.L.C.; Karlana, Inc.; Axis International, Inc.
February 19, 2009	David W. Cole; Siiri Cole; Highline Estates, L.L.C.; Mutual Financial Services, L.L.C. d.b.a. MFS Real Estate Division and MFS Investments; Dream Craft, L.L.C.; Scot A. Oglesby d.b.a. Arizona Asset Management; Lori Ann Oglesby; Randy K. Ward (CRD #4137944) d.b.a. Arizona Asset Management; Sharon Ward
February 18, 2009	Steve John Rogan; Carol Ann Richey; Dem Bonz Barbecue Restaurants, L.L.C.; Pizazz, L.L.C.
January 29, 2009	Kyle Schmierer d.b.a. Amadin; Jane Doe Schmierer
January 16, 2009	Nicholas (Nick) S. Ballard d.b.a. Oasis of Wealth, Inc.; Oasis of Wealth; AZ Gold & Safaris, Inc.; Jane Doe Ballard
January 15, 2009	Jeffrie Harper (CRD #2863910) a.k.a. Jeff Harper; Kathleen Janice Harper; Donald Robert Mattson Jr a.k.a. Rob Mattson; Jane Doe Mattson; Knuckleball Capital Management, L.L.C.
January 13, 2009	James Jonathon Fraser Buchanan (CRD #2452897); Lori A. Buchanan
January 12, 2009	Energetics, Inc.; Steven P. Giuffrida; Michelle Giuffrida
December 23, 2008	Mayra Jeanette Angulo (CRD #2221337); Mark Islas (CRD #1953882) d.b.a. IFS Group
November 5, 2008	Gerald Edwin Patchen II; Jane Doe

October 10, 2008	Mark A. Westerfield (CRD #4234248); Victoria H. Westerfield
October 9, 2008	Dean A. Severns; Cheryl E. Severns; Severns Properties, L.L.C.; Severns Capital, L.L.C.; J.Dean, L.L.C.
September 30, 2008	Robert Franklin Hockensmith Jr. (CRD #1798614)
September 30, 2008	Randie Balbas; Leila Balbas
September 29, 2008	Wolfepack Transportation, Inc. a.k.a. Wolfpack Transportation, Inc.; Michael L. Hairston, Sr.; Sheila Hairston
September 23, 2008	James Leroy Costello (CRD #1501345) d.b.a. Costello & Associates Financial; Linda S. Costello
September 18, 2008	Jerome William Carter d.b.a. Good Only Done Productions, L.L.C.; Jane Doe Carter; The Greatest Only Divine Productions, L.L.C.
September 12, 2008	Mayra Jeanette Angulo (CRD #2221337); Mark Islas (CRD #1953882) d.b.a. IFS Group
September 12, 2008	Helmut Weber d.b.a. Weber Capital Management; Vera Weber
September 12, 2008	Patrick Allen Roberts; Sheri L. Krouse; Motors De Amigos, Inc.; Rock'N R Car Company Enterprises, Inc. d.b.a. R&R Remarketing
September 11, 2008	James Jonathon Fraser Buchanan (CRD #2452897); Jane Doe Buchanan
August 28, 2008	Guillermo Ricardo De La Vara a.k.a. William De La Vara and Bill De La Vera d.b.a. Mortgage Notes; MNI Properties, L.L.C.; Erlinda De La Vara a.k.a. Erlinda G. Lopez
August 21, 2008	Southern Homes, L.L.C.; Charles Bruce Ferguson; Jane Doe Ferguson
August 12, 2008	Antonia Boblett a.k.a. Toni Boblett and/or Antonia Loera-Marks
August 1, 2008	Stanley Lane Boblett (CRD #2209980) a.k.a. Lane Boblett; Antonia Boblett a.k.a. Toni Boblett a.k.a. Antonia Loera-Marks; Pacific Coast Holding Company; Hall of Fame Partners, L.L.C.
July 23, 2008	CAG Capital, Inc. d.b.a. Glendale Car Connection; Kim K. Collins; Jane Doe Collins
July 23, 2008	Richard Bradford (CRD #2706290); Cindy Bradford a.k.a. Cindy White
July 22, 2008	Trademark Capital Management, L.L.C.; Blue Investments, L.L.C.; Travis Richey; Melissa Boyd
July 22, 2008	Robert Preston Ingram; Jane Doe Ingram
July 3, 2008	Mark W. Bosworth; Lisa A. Bosworth; Stephen G. Van Campen; Diane V. Van Campen; Michael J. Sargent; Peggy L. Sargent; Robert Bornholdt; Jane Doe Bornholdt; Mark Bosworth & Associates, L.L.C.; 3 Gringos Mexican Investments, L.L.C.
April 17, 2008	Deborah Cheryl Bennett; A. James Bennett, M.D.
March 20, 2008	Panama Capital Funding, L.L.C.; Benjamin Ray O'Toole; International Funding Network; Panagreen Bio Fuels, Inc.; Algaecake Technologies Corporation; Jane Doe O'Toole; Christopher Shane Strickland; Jane Doe Strickland

February 28, 2008	Kuypow, Inc.; Mo's Properties & Investment Group; Morris Clyde Kuykendall; Mo Kuykendall; Judy E. Powers
February 27, 2008	Asset Salvation Group, L.L.C.; Jack Robert Erickson, Sr; Jane Doe Erickson; JRE Holdings Trust; Dust to Dream Development Group, L.L.C.
January 10, 2008	Donna Marie Shurot
January 25, 2008	Scott Hutchinson; Jane Doe Hutchinson; Marine 3
January 15, 2008	Lingerie Nights DVD Magazine, L.L.C.; Raymond Javier Duran; Jane Doe Duran; Richard B. Cuen; Jane Doe Cuen