

ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

ANNUAL REPORT MAILING LABEL – MAKE CHANGES AS NECESSARY

E-03964A

Calpine Energy Solutions, LLC
401 W. A St., Ste. 500
San Diego, CA 92101

**RECEIVED
BY EMAIL**
04/14/2021, 3:54 PM
ARIZONA CORPORATION COMMISSION
UTILITIES DIVISION

Please click here if pre-printed Company name on this form is not your current Company name or dba name is not included.

Please list current Company name including dba here: Calpine Energy Solutions, LLC

ANNUAL REPORT
Electric

FOR YEAR ENDING

12	31	2020
----	----	------

FOR COMMISSION USE

ANN 01	20
--------	----

COMPANY INFORMATION

Company Name (Business Name) Calpine Energy Solutions, LLC

Mailing Address 401 West A Street, Suite 500

(Street)

San Diego

(City)

CA

(State)

92101

(Zip)

1-877-273-6772

Telephone No. (Include Area Code)

1-619-684-8355

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address bryan.white@calpinesolutions.com

Local Office Mailing Address Please see above.

(Street)

(City)

(State)

(Zip)

1-619-684-8000

Local Customer Service Phone No. (Include Area Code)

1-877-273-6772

(1-800 or other long distance Customer Service Phone No.)

Email Address bryan.white@calpinesolutions.com **Website address** CalpineSolutions.com

MANAGEMENT INFORMATION

Regulatory Contact:

Management Contact: **Greg Bass**

(Name)

Regulatory Director

(Title)

401 West A Street Suite 500

(Street)

San Diego

(City)

CA

(State)

92101

(Zip)

619-684-8199

Telephone No. (Include Area Code)

619-684-8355

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address greg.bass@calpinesolutions.com

On Site Manager: _____

(Name)

(Street)

(City)

(State)

(Zip)

Telephone No. (Include Area Code)

Fax No. (Include Area Code)

Cell No. (Include Area Code)

Email Address _____

Statutory Agent: Corporation Service Company

(Name)

2338 W. Royal Palm Road, Suite J
(Street)

Phoenix
(City)

AZ
(State)

85021
(Zip)

1-800-536-0852

Telephone No. (Include Area Code)

1-602-252-4810

Fax No. (Include Area Code)

Ccell No. (Include Area Code)

Attorney: Lawrence V. Robertson, Jr., of Counsel to Munger Chadwick P.L.C.

(Name)

PO Box 1448

Tubac

AZ

85646

1-520-398-0411

Telephone No. (Include Area Code)

1-520-398-0412

Fax No. (Include Area Code)

Ccell No. (Include Area Code)

Email Address _____

OWNERSHIP INFORMATION

Check the following box that applies to your company:

- | | |
|---|---|
| <input type="checkbox"/> Sole Proprietor (S) | <input type="checkbox"/> C Corporation (C) (Other than Association/Co-op) |
| <input type="checkbox"/> Partnership (P) | <input type="checkbox"/> Subchapter S Corporation (Z) |
| <input type="checkbox"/> Bankruptcy (B) | <input type="checkbox"/> Association/Co-op (A) |
| <input type="checkbox"/> Receivership (R) | <input checked="" type="checkbox"/> Limited Liability Company |
| <input type="checkbox"/> Other (Describe) _____ | |

COUNTIES SERVED

Check the box below for the county/ies in which you are certificated to provide service:

- | | | |
|---|-----------------------------------|-----------------------------------|
| <input type="checkbox"/> APACHE | <input type="checkbox"/> COCHISE | <input type="checkbox"/> COCONINO |
| <input type="checkbox"/> GILA | <input type="checkbox"/> GRAHAM | <input type="checkbox"/> GREENLEE |
| <input type="checkbox"/> LA PAZ | <input type="checkbox"/> MARICOPA | <input type="checkbox"/> MOHAVE |
| <input type="checkbox"/> NAVAJO | <input type="checkbox"/> PIMA | <input type="checkbox"/> PINAL |
| <input type="checkbox"/> SANTA CRUZ | <input type="checkbox"/> YAVAPAI | <input type="checkbox"/> YUMA |
| <input checked="" type="checkbox"/> STATEWIDE | | |

SERVICES AUTHORIZED TO PROVIDE

Check the following box/es for the services that you are authorized to provide:

- Electric**
- Investor Owned Electric
 - Rural Electric Cooperative
 - Utility Distribution Company
 - Electric Service Provider
 - Transmission Service Provider
 - Meter Service Provider
 - Meter Reading Service Provider
 - Billing and Collection
 - Ancillary Services
 - Generation Provider
 - Aggregator/Broker

Other (Specify) _____

STATISTICAL INFORMATION

Retail Information

	Number of Arizona Customers	Number of kWh Sold in Arizona
Residential	0	0
Commercial	0	0
Industrial	0	0
Public Street and Highway Lighting	0	0
Irrigation	0	0
Total Retail	0	0

Wholesale Information

	Number of Customers	Number of kWh Sold
Resale	1	96,990,000
Short-term Sales (durations of less than one year)	0	0
Total Wholesale	1	96,990,000

Total MWh Sold 96,990 MWh

Maximum Peak Load 16.37 MW

COMPANY NAME: Calpine Energy Solutions, LLC

UTILITY SHUTOFFS / DISCONNECTS

MONTH	Termination without Notice R14-2-211.B	Termination with Notice R14-2-211.C	OTHER
JANUARY	0	0	0
FEBRUARY	0	0	0
MARCH	0	0	0
APRIL	0	0	0
MAY	0	0	0
JUNE	0	0	0
JULY	0	0	0
AUGUST	0	0	0
SEPTEMBER	0	0	0
OCTOBER	0	0	0
NOVEMBER	0	0	0
DECEMBER	0	0	0
TOTALS →	0	0	0

OTHER (description):

**VERIFICATION
AND
SWORN STATEMENT
Intrastate Revenues Only**

VERIFICATION

STATE OF California

I, THE UNDERSIGNED

OF THE

COUNTY OF (COUNTY NAME)	San Diego
NAME (OWNER OR OFFICIAL) TITLE	Drake Welch, Senior Vice President
COMPANY NAME	Calpine Energy Solutions, LLC

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING

MONTH	DAY	YEAR
12	31	2020

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENT OF TITLE 40, ARTICLE 8, SECTION 40-401, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS DURING CALENDAR YEAR 2019 WAS:


A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

Arizona Intrastate Gross Operating Revenues Only (\$)

\$ 0

(THE AMOUNT IN BOX ABOVE INCLUDES \$ _____ IN SALES TAXES BILLED, OR COLLECTED)

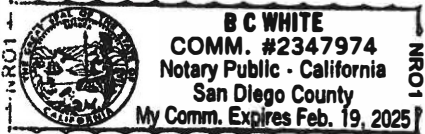
****REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED OR COLLECTED. IF FOR ANY OTHER REASON, THE REVENUE REPORTED ABOVE DOES NOT AGREE WITH TOTAL OPERATING REVENUES ELSEWHERE REPORTED, ATTACH THOSE STATEMENTS THAT RECONCILE THE DIFFERENCE. (EXPLAIN IN DETAIL)**




SIGNATURE OF OWNER OR OFFICIAL
619-684-8039

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO (OR AFFIRMED) BEFORE ME ON THIS 14th DAY OF APRIL, 2021 BY DRAKE WELCH, PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON WHO APPEARED BEFORE ME.





SIGNATURE OF NOTARY PUBLIC

MY COMMISSION EXPIRES 02/19/2025

**VERIFICATION
AND
SWORN STATEMENT
RESIDENTIAL REVENUE
INTRASTATE REVENUES ONLY**

STATE OF CALIFORNIA
I, THE UNDERSIGNED
OF THE

COUNTY OF San Diego	
NAME (OWNER OR OFFICIAL) Drake Welch	TITLE Senior Vice President
COMPANY NAME Calpine Energy Solutions, LLC	

DO SAY THAT THIS ANNUAL UTILITY REPORT TO THE ARIZONA CORPORATION COMMISSION

FOR THE YEAR ENDING	MONTH 12	DAY 31	YEAR 2020
---------------------	-------------	-----------	--------------

HAS BEEN PREPARED UNDER MY DIRECTION, FROM THE ORIGINAL BOOKS, PAPERS AND RECORDS OF SAID UTILITY; THAT I HAVE CAREFULLY EXAMINED THE SAME, AND DECLARE THE SAME TO BE A COMPLETE AND CORRECT STATEMENT OF BUSINESS AND AFFAIRS OF SAID UTILITY FOR THE PERIOD COVERED BY THIS REPORT IN RESPECT TO EACH AND EVERY MATTER AND THING SET FORTH, TO THE BEST OF MY KNOWLEDGE, INFORMATION AND BELIEF.

SWORN STATEMENT

IN ACCORDANCE WITH THE REQUIREMENTS OF TITLE 40, ARTICLE 8, SECTION 40-401.01, ARIZONA REVISED STATUTES, IT IS HEREIN REPORTED THAT THE GROSS OPERATING REVENUE OF SAID UTILITY DERIVED FROM ARIZONA INTRASTATE UTILITY OPERATIONS RECEIVED FROM RESIDENTIAL CUSTOMERS DURING CALENDAR YEAR 2019 WAS:

ARIZONA INTRASTATE GROSS OPERATING REVENUES
\$ <u>0</u>

(THE AMOUNT IN BOX AT LEFT INCLUDES \$ 0 IN SALES TAXES BILLED, OR COLLECTED)

***RESIDENTIAL REVENUE REPORTED ON THIS PAGE MUST INCLUDE SALES TAXES BILLED.**



SIGNATURE OF OWNER OR OFFICIAL

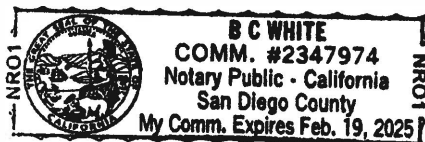
619-684-8039

TELEPHONE NUMBER

SUBSCRIBED AND SWORN TO (OR AFFIRMED) BEFORE ME ON THIS 14th DAY OF APRIL, 2021 BY DRAKE WELCH, PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON WHO APPEARED BEFORE ME.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

BC White



FINANCIAL INFORMATION

Attach to this annual report a copy of the Company year-end (Calendar Year 2020) financial statements. If you do not compile these reports, the Utilities Division will supply you with blank financial statements for completion and filing. **ALL INFORMATION MUST BE ARIZONA-SPECIFIC AND REFLECT OPERATING RESULTS IN ARIZONA.**

N/A